RADISYS CORP Form 4

## FORM 4

June 28, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

3235-0287 Number:

Expires:

January 31, 2005

0.5

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Form 5

1(b).

Check this box

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Nierenberg Investment Management Company, Inc.

> (Last) (First) (Middle)

19605 NE 8TH STREET

(Street)

2. Issuer Name and Ticker or Trading Symbol

RADISYS CORP [RSYS]

3. Date of Earliest Transaction

(Month/Day/Year) 06/26/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

**CAMAS, WA 98607** 

(City)

(Instr. 3)

1.Title of 2. Transaction Date 2A. Deemed Security

(State)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

(Zip)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5) (A)

or

5. Amount of Securities Beneficially Owned Following Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(9-02)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount of 8. Pr 4. Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date **Underlying Securities** Deri Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) Secu (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (Inst

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	Derivative Security				(A) or Disposed (D) (Instr. 3, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)	06/26/2012	A		1,620		<u>(1)</u>	<u>(1)</u>	Common Stock	1,620	
Restricted Stock Units	(1)	06/26/2012	A		5,320		<u>(1)</u>	<u>(1)</u>	Common Stock	5,320	
Restricted Stock Units	(1)	06/26/2012	A		560		<u>(1)</u>	<u>(1)</u>	Common Stock	560	

# **Reporting Owners**

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Nierenberg Investment Management Company, Inc. 19605 NE 8TH STREET CAMAS, WA 98607	X	X				
Nierenberg David 19605 NE 8TH STREET CAMAS, WA 98607		X				
D3 Family Fund, LP 19605 NE 8TH STREET CAMAS, WA 98607		X				
D3 Family Bulldog Fund, LP 19605 NE 8TH STREET CAMAS, WA 98607		X				
D3 Family Canadian Fund, LP 19605 NE 8TH STREET CAMAS, WA 98607		X				

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### **Signatures**

David Nierenberg, President, Nierenberg Investment Management Company, Inc. (NIMCO) 06/28/2012 \*\*Signature of Reporting Person Date David Nierenberg, President, NIMCO, General Partner of The D3 Family Bulldog Fund, LP 06/28/2012 \*\*Signature of Reporting Person Date David Nierenberg 06/28/2012 \*\*Signature of Reporting Person Date David Nierenberg, President, NIMCO, General Partner of The D3 Family Canadian Fund, LP 06/28/2012 \*\*Signature of Reporting Person Date David Nierenberg, President, NIMCO, General Partner of The D3 Family Fund, LP 06/28/2012

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

\*\*Signature of Reporting Person

David Nierenberg is a member of the Board of Directors of the issuer. As a director, Mr. Nierenberg was granted 7,500 restricted stock units on June 26, 2012 which vest 50% per year on the anniversary of the grant date. These restricted stock units are held for the benefit

Date

- (1) of the reporting persons identified above in Table II of this Form 4. Each restricted stock unit represents a contingent right to receive one share of the issuer's common stock. One share of common stock will be automatically delivered to the reporting person for each restricted stock unit that vests.
- Additionally: (a) The D3 Family Fund, L.P. owns 728,293 shares of common stock and 1,300 stock options, (b) The D3 Family Bulldog (2) Fund, L.P. owns 2,745,429 shares of common stock and 5,100 stock options, (c) The D3 Family Canadian Fund, L.P. owns 256,398 shares of common stock and 600 stock options, and (d) The DIII Offshore Fund, L.P. owns 801,176 shares of common stock.
- (3) Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of the reporting person's pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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