

Avago Technologies LTD  
 Form 4  
 September 05, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Ingram Bryan

(Last) (First) (Middle)

C/O AVAGO TECHNOLOGIES US INC., 350 WEST TRIMBLE ROAD

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Avago Technologies LTD [AVGO]

3. Date of Earliest Transaction (Month/Day/Year)  
 09/03/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 SVP & COO

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | Code V  | Amount  | Price  |   |
| Ordinary Shares                 | 09/03/2013                           |  | M <sup>(1)</sup>               | 12,000  | A \$ 10   | 88,667 <sup>(2)</sup>                                    | D   |
| Ordinary Shares                 | 09/03/2013                           |  | M <sup>(1)</sup>               | 30,000  | A \$ 15   | 118,667 <sup>(2)</sup>                                   | D   |
| Ordinary Shares                 | 09/03/2013                           |  | S <sup>(1)</sup>               | 42,000  | D \$ 38.1987 <sup>(3)</sup>   | 76,667 <sup>(2)</sup>                                    | D   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |        |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                      |        |
| Employee Stock Options (right to buy)      | \$ 10  | 09/03/2013                           |  | M <sup>(1)</sup>               | 12,000  | <sup>(4)</sup>   | 03/02/2019  | Ordinary Shares            | 12,000 |
| Employee Stock Options (right to buy)      | \$ 15  | 09/03/2013                           |  | M <sup>(1)</sup>               | 30,000  | <sup>(5)</sup>   | 08/04/2019  | Ordinary Shares            | 30,000 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |           |       |
|---|---------------|-----------|-----------|-------|
|   | Director      | 10% Owner | Officer   | Other |
| Ingram Bryan<br>C/O AVAGO TECHNOLOGIES US INC.<br>350 WEST TRIMBLE ROAD<br>SAN JOSE, CA 95131 |               |           | SVP & COO |       |

## Signatures

/s/ Patricia H. McCall, Attorney-in-Fact for Bryan  
Ingram

09/05/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on June 28, 2013.
- (2) Includes 76,667 restricted share units.

## Edgar Filing: Avago Technologies LTD - Form 4

These transactions were executed in multiple trades at prices ranging from \$38.09 to \$38.38 per share, inclusive. The price reported in

- (3) Column 4 above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- (4) Option vests with respect to 20% of the shares subject thereto on each anniversary of March 3, 2009, such that the option will be fully vested and exercisable on March 3, 2014.
- (5) The option is fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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