Edgar Filing: CODEXIS INC - Form 4

CODEVIC INC

Form 4										
July 02, 2014 FORM Check thi if no long subject to Section 10	4 UNITED S s box er STATEM 6.	Washington, D.C. 20549								
Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	Filed purs nue. Section 17(a	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							0.5	
(Print or Type R	lesponses)									
1. Name and A Lalonde Jam	ddress of Reporting F les	2. Issuer Name and Ticker or Trading ymbol				5. Relationship of Reporting Person(s) to Issuer				
			CODEXIS INC [CDXS]				(Check all applicable)			
(Mo			B. Date of Earliest Transaction Month/Day/Year) 07/01/2014				Director 10% Owner X Officer (give title Other (specify below) below) SVP, R&D			
	Amendment, Da Month/Day/Year	-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
REDWOOD	CITY, CA 9406	3					Form filed by M Person	Aore than One Re	porting	
(City)	(State) (Zip) 7	able I - Non-I	Derivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	Code	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	07/01/2014		M	27,350	A	\$ 0.68	162,573	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 0.68	07/01/2014		М	27,350	(1)	07/06/2014	Common Stock	27,350

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Lalonde James C/O CODEXIS, INC. 200 PENOBSCOT DRIVE REDWOOD CITY, CA 94063			SVP, R&D					
Signatures								
/s/ Doug Sheehy, Attorney-in-F Lalonde	07/02/2014							
<u>**</u> Signature of Reporting P	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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