ASTRO MED INC /NEW/

Form 4

September 05, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Estate of Albert W. Ondis

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ASTRO MED INC /NEW/ [ALOT]

(Check all applicable)

600 EAST GREENWICH

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title

below)

_X__ 10% Owner __ Other (specify

AVENUE.

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

09/04/2014

Applicable Line) Form filed by One Reporting Person

X Form filed by More than One Reporting

Person

(Street)

WEST WARWICK, RI 02893

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivativ	e Seci	urities Acqu	ired, Disposed of	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	09/04/2014		S <u>(1)</u>	1,700	D	13.6241 (2) (3)	1,430,973	D (4)	
Common Stock	09/04/2014		S(1)	1,269	D	\$ 13.8	1,429,704	D (4)	
Common Stock	09/05/2014		S(1)	400	D	\$ 13.725 (3) (5)	1,429,304	D (4)	
Common Stock							3,858	I	Held under the issuer's Employee

Stock

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			Ownership Plan for the account of Albert W. Ondis (6)
Common Stock	317	I	Held under the issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis, III
Common Stock	1,658	I	Held in trust for a child of Albert W. Ondis, III
Common Stock	122,097	D (9)	
Common Stock	5,614	I	Held in trust for a child of Alexis Ondis (10)
Common Stock	122,096	D (11)	
Common Stock	650	I	Held under the issuer's Employee Stock Ownership Plan for the account of April Ondis
Common Stock	124,475	D (13)	
Reminder: Report on a separate line for each class of securities benefi	cially owned directly or indirectly.		
	Persons who respond to the co information contained in this fo required to respond unless the displays a currently valid OMB number.	rm are not form	SEC 1474 (9-02)

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$\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	.	ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
reposing 6 miles standy standed	Director	10% Owner	Officer	Other			
Estate of Albert W. Ondis 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X					
Ondis Albert W. III C/O ASTRO-MED, INC. 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X					
Ondis Alexis C/O ASTRO-MED, INC. 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X					
Ondis April C/O ASTRO-MED, INC. 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X					

Signatures

Margaret D. Farrell (Attorney-in-fact for the Estate of Albert W. Ondis)	09/05/2014			
**Signature of Reporting Person	Date			
Margaret D. Farrell (Attorney-in-fact for Albert W. Ondis, III)				
**Signature of Reporting Person	Date			
Margaret D. Farrell (Attorney-in-fact for Alexis Ondis)	09/05/2014			
**Signature of Reporting Person	Date			

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Margaret D. Farrell (Attorney-in-fact for April Ondis)

09/05/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to the Rule 10b5-1 Trading Plan of the Estate of Albert W. Ondis.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.60 to \$13.76, inclusive.
- The reporting persons undertake to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange (3) Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2) and (5) to this Form 4.
- (4) These shares are owned directly by the Estate of Albert W. Ondis and indirectly by Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.67 to \$13.78, inclusive.
- (6) These shares are owned indirectly by the Estate of Albert W. Ondis and each of Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (7) These shares are owned indirectly by Albert W. Ondis, III.
- (8) These shares are owned indirectly by Albert W. Ondis, III, as trustee of a trust for a child of Albert W. Ondis, III.
- (9) These shares are owned directly by Albert W. Ondis, III.
- (10) These shares are owned indirectly by Alexis Ondis, as trustee of a trust for a child of Alexis Ondis.
- (11) These shares are owned directly by Alexis Ondis.
- (12) These shares are owned indirectly by April Ondis.
- (13) These shares are owned directly by April Ondis.

Remarks:

Albert W. Ondis, III, Alexis Ondis and April Ondis are each co-executors of the Estate of Albert W. Ondis and ten percent ow Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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