M I HOMES INC Form 4

November 12, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ALUTTO JOSEPH A** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

M I HOMES INC [MHO] 3. Date of Earliest Transaction

(Check all applicable)

(First) (Last)

(Street)

(Month/Day/Year) 11/11/2014

X\_ Director Officer (give title below)

10% Owner Other (specify

810 CURLEY'S COURT

4. If Amendment, Date Original

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Filed(Month/Day/Year)

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

COLUMBUS, OH 43235

1.Title of

Security

(Instr. 3)

(City) (State) (Zip)

2. Transaction Date 2A. Deemed

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

Following Reported (A) or

Code V Amount (D) Price

(Instr. 3, 4 and 5)

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise (Instr. 3) Price of (Month/Day/Year)

4. Transactionof Code Derivative (Instr. 8) Securities

5. Number 6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. Price **Underlying Securities** (Instr. 3 and 4)

Derivati Security (Instr. 5)

#### Edgar Filing: M I HOMES INC - Form 4

| Sec                  | curity     |            |      |   | (A) or<br>Dispos<br>of (D)<br>(Instr.<br>and 5) | sed<br>3, 4, |                     |                    |                  |  |         |
|----------------------|------------|------------|------|---|---|--------------|---------------------|--------------------|------------------|--|---------|
|                      |            |            | Code | V | (A)   | (D)          | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of<br>Shares |         |
| Phantom<br>Stock (1) | <u>(2)</u> | 11/11/2014 | A    |   | 706   |              | (3)                 | (3)                | Common<br>Shares | 706                                    | \$ 23.0 |

Acquired

### **Reporting Owners**

Derivative

| Reporting Owner Name / Address        | Relationships |           |         |       |  |  |  |
|---------------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                       | Director      | 10% Owner | Officer | Other |  |  |  |
| ALUTTO JOSEPH A<br>810 CURLEY'S COURT | X             |           |         |       |  |  |  |
| COLUMBUS, OH 43235                    |               |           |         |       |  |  |  |

# **Signatures**

/s/Phillip G. Creek, Attorney-in-Fact for Joseph A.
Alutto

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Phantom Stock units were granted as payment for serving as a member of the Board of Directors pursuant to the M/I Homes, Inc. Director Deferred Compensation Plan (the "Plan").
- (**2**) 1-for-1
- (3) The Phantom Stock units accrue under the Plan and are to be settled in Common Shares upon the earlier of (i) the date specified by the reporting person in his deferral notice, or (ii) the date of the reporting person's termination of service as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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