#### Edgar Filing: TOKARZ MICHAEL T - Form 4

ICHAEL T									
18									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL		
Washington, D.C. 20549							OMB Number:	Number: 3235-028	
ter								Expires:	January 31, 2005
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per		
r								response 0.5	
<b>.</b>	•					•			
inue. Section			•	•	· ·			n	
uction	30(h)	) of the In	vestmen	it Compai	іу Ас	t of 194	Ю		
Responses)									
TOVADZ MICHAELT			er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer		
		•	APITAL	L, INC. [I	AVC	1			
(First)	(Middle)			_	-		(Chec	k all applicable	2)
· · · · · · · · · · · · · · · · · · ·						Director 10% Owner			
			-				below)	below)	er (specify
			f Amondmont Data Original			6. Individual or Joint/Group Filing(Check			
			-						
E. NY 10577							_X_ Form filed by 0 Form filed by M		
							Person		
(State)	(Zip)	Tabl	e I - Non-	Derivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code V	Amount		Price	(Instr. 3 and 4)		
04/12/2018			Р	3,889	A	\$ 10.06	979,931.56	D	
	18 <b>J 4</b> UNITE is box ger STAT 6. r Filed J ns Section f action Section f (First) CAPITAL, INC AVE., 2ND F (Street) E, NY 10577 (State) 2. Transaction I (Month/Day/Ye	18 <b>14</b> UNITED STATES is box ger STATEMENT O 6. r Filed pursuant to ns inue. Section 17(a) of the inue. 30(h) Responses) ddress of Reporting Person * AICHAEL T (First) (Middle) CAPITAL, INC.,, 287 AVE., 2ND FLOOR (Street) E, NY 10577 (State) (Zip) 2. Transaction Date 2A. Dee (Month/Day/Year) Execution any (Month/	18 UNITED STATES SECUE Was is box ger STATEMENT OF CHAN 6. r Filed pursuant to Section 1 ns Section 17(a) of the Public Us inue. Address of Reporting Person <sup>1</sup> 2. Issue MVC C (First) (Middle) 3. Date of (Month/E CAPITAL, INC.,, 287 AVE., 2ND FLOOR (Street) 4. If Ame Filed(Mon E, NY 10577 (State) (Zip) Tabl 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)	14       UNITED STATES SECURITIES Washington         is box       STATEMENT OF CHANGES IN         6.       SECUR         r       Filed pursuant to Section 16(a) of the         inue, action       Section 17(a) of the Public Utility Ho         inue, action       30(h) of the Investment         Responses)       Section 17(a) of the Public Utility Ho         address of Reporting Person <sup>*</sup> 2. Issuer Name and         MUCHAEL T       Symbol         MVC CAPITAL       (First)         (First)       (Middle)         3. Date of Earliest T         (Month/Day/Year)         CAPITAL, INC, 287       04/12/2018         AVE., 2ND FLOOR         (Street)       4. If Amendment, E         Filed(Month/Day/Year)       Filed(Month/Day/Year)         2. Transaction Date       2A. Deemed       3.         (Month/Day/Year)       Execution Date, if       Transact any         Code       (Month/Day/Year)       (Instr. 8)	14       UNITED STATES SECURITIES AND EX Washington, D.C. 20         is box       STATEMENT OF CHANGES IN BENEF         6.       SECURITIES         r       Filed pursuant to Section 16(a) of the Securitis         ns       Section 17(a) of the Public Utility Holding Cor         inue.       30(h) of the Investment Compar         Responses)       2. Issuer Name and Ticker or         ddress of Reporting Person <sup>±</sup> 2. Issuer Name and Ticker or         MCHAEL T       Symbol         MVC CAPITAL, INC. [N         (First)       (Middle)         3. Date of Earliest Transaction         (Month/Day/Year)         CAPITAL, INC., 287         AVE., 2ND FLOOR         (Street)       4. If Amendment, Date Origina         Filed(Month/Day/Year)         E, NY 10577         (State)       (Zip)         Table I - Non-Derivative         2. Transaction Date       2. Deemed       3. 4. Securit         (Month/Day/Year)       Execution Date, if       Transaction(A) or D         any       Code       (Instr. 3)         (Month/Day/Year)       (Instr. 3)	14 UNITED STATES SECURITIES AND EXCHA Washington, D.C. 20549 is box STATEMENT OF CHANGES IN BENEFICIA 6. Filed pursuant to Section 16(a) of the Securities Ensinue. Section 17(a) of the Public Utility Holding Company 30(h) of the Investment Company Action 30(h) of the Investment Action 30(h) of the	18 UNITED STATES SECURITIES AND EXCHANGE O Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWN 6. Filed pursuant to Section 16(a) of the Securities Exchang section 17(a) of the Public Utility Holding Company Act of 194 Section 30(h) of the Investment Company Act of 194 Responses) ddress of Reporting Person <sup>*</sup> (Middle) 3. Date of Earliest Transaction (Month/Day/Year) CAPITAL, INC., 287 (Middle) 3. Date of Earliest Transaction (Month/Day/Year) CAPITAL, INC., 287 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) E, NY 10577 (State) (Zip) Table 1 - Non-Derivative Securities Acquired (Month/Day/Year) E, NY 10577 (State) (Zip) Table 1 - Non-Derivative Securities Acquired (Month/Day/Year) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)	14       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549         is box       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 6. SECURITIES         r       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ns         s Section 17(a) of the Public Utility Holding Company Act of 1935 or Sectio attion       30(h) of the Investment Company Act of 1940         Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Issuer         MVC CAPITAL, INC. [MVC]       (Chee Month/Day/Year)       Officer (give Officer (give Delow)         (Arequire)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Jac. Securities Acquired, Disposed of Code (Instr. 3, 4 and 5)         (State)       (Zip)       Table 1 - Non-Derivative Securities Acquired, Disposed of Code V Amount (D)       5. Amount of Securities Acquired Following Reported Transaction() (Instr. 8)         (A)       or       (Instr. 3, 4 and 5)       Securities Acquired Transaction() (Instr. 3, and 4)	14       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB OMB Number:         is box       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires:         6.       TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires:         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934.       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940         Responses)       MVC CAPITAL, INC. [MVC]       (Check all applicable below)         (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       Director Officer (give tild below)       10% Chairman         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filin Applicable Lina -X. Form filed by One Reporting Person       6. Ownership Form Securities Acquired Securities Acquired (Month/Day/Year)       5. Amount of Securities Beneficially (Month/Day/Year)       6. Ownership Form: Direct Beneficially       6. Ownership Form: Direct Beneficially       6. Ownership Form: Direct Beneficially         (Month/Day/Year)       3.       4. Securities Acquired (A) ransaction(A) or Disposed of (D) Code (Instr. 3. 4 and 5)       5. Amount of Securities Beneficially       6. Ownership Form: Direct Beneficially

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

#### 1. Title of 3. Transaction Date 3A. Deemed 5. 6. Date Exercisable and 7. Title and 8. Price of 9. Nt 2. 4. (Month/Day/Year) Derivative Conversion Execution Date, if TransactionNumber **Expiration Date** Amount of Derivative Deriv Security or Exercise any Code of (Month/Day/Year) Underlying Security Secu (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Securities (Instr. 5) Derivative (Instr. 3 and 4) Derivative Securities Own Security Acquired Follo (A) or Repo Disposed Trans of (D) (Insti (Instr. 3, 4, and 5) Amount or Date Expiration Title Number Exercisable Date of Shares Code V (A) (D)

### Edgar Filing: TOKARZ MICHAEL T - Form 4

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
reporting o when the		Director	10% Owner	Officer	Other			
TOKARZ MICHAEL C/O MVC CAPITAL, 287 BOWMAN AVE., PURCHASE, NY 1057			Chairman					
Signatures								
/s/ Michael T. Tokarz	04/16/2018							
**Signature of Reporting Person	Date							

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Bene