## Edgar Filing: CHAVEZ LINDA - Form 4

| Form 4  |  |  |  |  |                                  |        |            |  |  |                                       |  |  |
|---|--|--|--|--|----------------------------------|--------|------------|--|--|---------------------------------------|--|--|
|   | August 07, 2018<br>FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION |  |  |  |                                  |        |            | OMB APPROVAL   |  |                                       |  |  |
|   |  | Washington, D.C. 20549   |  |  |                                  |        |            | OMB<br>Number:   | 3235-0287  |                                       |  |  |
| Check this l<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or                      |  |  |  |  |                                  |        |            |  |  | Estimated average<br>burden hours per |  |  |
| Form 5<br>obligations<br>may continu<br><i>See</i> Instruct<br>1(b).                        | ue. Section 17(a   | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |  |                                  |        |            |  |  |                                       |  |  |
| (Print or Type Res  | sponses)   |  |  |  |                                  |        |            |  |  |                                       |  |  |
| CHAVEZ LINDA Syn  |  |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>ABM INDUSTRIES INC /DE/ |  |                                  |        | -          | 5. Relationship of Reporting Person(s) to Issuer   |  |                                       |  |  |
|   |  |  |  | [ABM]  |                                  |        |            |  | (Check all applicable)   |                                       |  |  |
| (Last) (First) (Middle) 3. Date of<br>(Month/Da<br>ONE LIBERTY PLAZA, 7TH 08/06/20<br>FLOOR |  |  |  | -  |                                  |        |            | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)                                       |  |                                       |  |  |
|   | (Street) 4. If Amen<br>Filed(Mont  |  |  |  | -                                |        |            | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |  |                                       |  |  |
| NEW YORK,   | NY 10006   |  |  |  |                                  |        |            |  | One Reporting Pe<br>More than One Re                                 |                                       |  |  |
| (City)  | (State)  | (Zip)  | Table  | e I - Non-Do                                     | erivative                        | Securi | ties Acq   | uired, Disposed of   | f, or Beneficial   | ly Owned                              |  |  |
|   | 2. Transaction Date<br>(Month/Day/Year)                                    | Executio<br>any  | n Date, if   | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V | on(A) or Di<br>(D)<br>(Instr. 3, | ispose | d of       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                                       |  |  |
| Common<br>Stock   | 08/06/2018   |  |  | A  | 80 <u>(1)</u>                    | A      | \$<br>31.4 | 14,559 <u>(2)</u>  | D  |                                       |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address                                       | Relationships |           |          |       |  |  |  |  |
|--|---------------|-----------|----------|-------|--|--|--|--|
| 1  | Director      | 10% Owner | Officer  | Other |  |  |  |  |
| CHAVEZ LINDA<br>ONE LIBERTY PLAZA<br>7TH FLOOR<br>NEW YORK, NY 10006 | Х             |           |          |       |  |  |  |  |
| Signatures   |               |           |          |       |  |  |  |  |
| By: Barbara L. Smithers, by po<br>attorney                           | ower of       |           | 08/07/20 | 018   |  |  |  |  |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend equivalent rights (DERs) accrued on the restricted stock units granted under the 2006 Equity Incentive Plan. DERs vest in the same manner as the restricted stock units to which they relate. Each DER is the economic equivalent of one share of ABM common stock.
- (2) Includes 6,657 unvested RSUs and 7,747 vested RSUs, the receipt of which has been deferred, and DERs relating to the unvested and vested RSUs, adjusted to reflect the cumulative effect of fractional shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.