## Edgar Filing: Williams Anthony G - Form 4

Williams An	thony G										
Form 4											
February 29,	2012										
FORM	14									PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont	6. Filed pu ns Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							burden hou response	Estimated average burden hours per response 0.	
See Instru 1(b).	uction	50(11)	or the m	vestment	compan	y 110	. 01 17				
(Print or Type I 1. Name and A Williams An	ddress of Reporting	e Person <u>*</u>	2. Issuer Symbol	Name and	Ticker or	Tradir	ıg	5. Relationship o Issuer	f Reporting Per	son(s) to	
	5		-	lobal Inve	estors Inc	E LAF	1TS				
		<b>AC 111</b>				. [	(1)	(Che	ck all applicabl	e)	
	(First) ( GLOBAL INV IADISON AVE)		3. Date of (Month/D 02/28/20	-	ansaction			Director X Officer (giv below) Pt		6 Owner er (specify	
(Street) 4. If A			4. If Ame	f Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
NEW YOR	K, NY 10017			th/Day/Year	-			Applicable Line) _X_ Form filed by		erson	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Securi	ities Ac	quired, Disposed o	f. or Beneficia	llv Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Execution any		3.	4. Securi onAcquirec Disposed (Instr. 3,	ties l (A) c l of (D	or ))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A common	02/28/2012			А	3,965	А	\$0	444,355 <u>(2)</u>	D		
stock	02/20/2012			11	(1)	11	ψυ		D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Williams Anthony G C/O ARTIO GLOBAL INVESTORS 330 MADISON AVENUE NEW YORK, NY 10017	5 INC.		President, COO				
Signatures							
/s/ Rachel Braverman, as Agent	02/29/2012						

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents dividend equivalents related to restricted stock units ("RSUs") and restricted stock awards ("RSAs"), each of which represents
   (1) the right to receive one share of Class A common stock of Artio Global Investors Inc. upon the lapse of restrictions. The dividend equivalents were issued in the form of additional RSUs and are subject to the same vesting provisions as the underlying RSUs or RSAs.
- (2) Includes RSUs and RSAs, each of which represents the right to receive one share of Class A common stock of the Issuer upon the lapse of restrictions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.