

APPLIED OPTOELECTRONICS, INC.

Form SC 13G

February 13, 2014

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No.____)*

Applied Optoelectronics, Inc.

(Name of Issuer)

Common Stock, par value \$0.001 per share

(Title of Class of Securities)

03823U 10 2

(CUSIP Number)

December 31, 2013

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☐ Rule 13d-1(c)

☒ Rule 13d-1(d)

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The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to *the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 03823U 10 2

Names of Reporting Persons

I.R.S. Identification No. of
Above Persons (Entities Only)

1.

Nancy Chang

Check the Appropriate Box if a

2. Member of a Group (See
Instructions)

(a) "

(b) "

SEC Use Only

3.

Citizenship or Place of
Organization

4.

United States

Sole Voting Power

5.

4,877

Number Shared Voting Power*

of 6.

665,514

Shares

Sole Dispositive Power

Beneficially

4,877

Owned

by 8. Shared Dispositive Power*

Each 665,514

Reporting

Person
With

Aggregate Amount Beneficially
Owned by Each Reporting

9. Person*

670,391

Check if the Aggregate Amount
10. in Row (9) Excludes Certain
Shares (See Instructions) ..

Percent of Class Represented by
11. Amount in Row (9)**

5.31%

Type of Reporting Person (See
12. Instructions)

IN

*See Item 4 below.

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CUSIP No. 03823U 10 2

Names of Reporting Persons

1. I.R.S. Identification No. of
Above Persons (Entities Only)

Robinhood II LP

Check the Appropriate Box if a
2. Member of a Group (See
Instructions)
(a) ☐
(b) ☐

3. SEC Use Only

4. Citizenship or Place of
Organization

Delaware

5. Sole Voting Power
0

Number of 6. Shared Voting Power*

421,073

Shares

Beneficially 7. Sole Dispositive Power
0

Owned by 8. Shared Dispositive Power*

Each 421,073

Reporting

Person
With

Aggregate Amount Beneficially
Owned by Each Reporting

9. Person*

421,073

Check if the Aggregate Amount
10. in Row (9) Excludes Certain
Shares (See Instructions) ..

Percent of Class Represented by
11. Amount in Row (9)**

3.33%

Type of Reporting Person (See
12. Instructions)

PN

* See Item 4 below.

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CUSIP No. 03823U 10 2

Names of Reporting Persons

I.R.S. Identification No. of
Above Persons (Entities Only)

1.

Robinhood III LP

Check the Appropriate Box if a

2. Member of a Group (See
Instructions)

(a) ..

(b) ..

SEC Use Only

3.

Citizenship or Place of
Organization

4.

Texas

Sole Voting Power

5.

0

Number Shared Voting Power*

of 6.

244,441

Shares

Sole Dispositive Power

Beneficially

0

Owned

by 8. Shared Dispositive Power*

Each 244,441

Reporting

Person
With

Aggregate Amount Beneficially
Owned by Each Reporting

9. Person*

244,441

Check if the Aggregate Amount
10. in Row (9) Excludes Certain
Shares (See Instructions) ..

Percent of Class Represented by
11. Amount in Row (9)**

1.94%

Type of Reporting Person (See
12. Instructions)

PN

* See Item 4 below.

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Item 1.

Name of Issuer

(a)

Applied Optoelectronics, Inc.
Address of Issuer's Principal Executive Offices

(b)

13115 Jess Pirtle Blvd.

Sugar Land, TX 77478

Item 2.

Name of Person(s) Filing

(a)

Nancy Chang , Robinhood II, LP, and Robinhood III, LP,
Address of Principal Business Office or, if none, Residence

(b)

101 Wescott St. #603, Houston, Texas 77007
Citizenship

(c)

United States (Nancy Chang); Delaware (Robinhood II, LP); Texas (Robinhood III, LP)
Title of Class of Securities

(d)

Common Stock, \$0.001 par value per share
CUSIP Number

(e)

03823U 10 2

If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Item 3.

Not applicable

Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a), (b), and (c)

Reporting Persons	Number of Shares With Sole Voting and Dispositive Power*	Number of Shares With Shared Voting and Dispositive Power*	Aggregate Number of Shares Beneficially Owned	Percentage of Class Beneficially Owned**	
Nancy Chang	4,877	665,514	670,391	5.31	%
Robinhood II, LP	0	421,073	421,073	3.33	%
Robinhood III, LP	0	244,441	244,441	1.94	%

* Consists of (a) 421,073 shares held of record by Robinhood II, LP, (b) 244,441 shares held of record by Robinhood III, LP and (c) 4,877 shares held of record by Nancy T. Chang. Ms. Chang has the power to direct investments and/or has the sole power to vote the securities owned by Robinhood II, LP and Robinhood III, LP, as the sole beneficiary and president of the general partner of such entities.

****Based upon information contained in the Issuer's Quarterly Report on Form 10-Q for the quarter ended September 30, 2013 filed by the Issuer with the Securities and Exchange Commission on November 14, 2013.**

Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following ☐.

Not applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person

Not applicable.

Item 8. Identification and Classification of Members of the Group

Not applicable.

Item 9. Notice of Dissolution of Group

Not applicable.

Item 10. Certification

Not applicable.

Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 12, 2014

Robinhood II, LP

By: /s/ Nancy Chang
Name: Nancy Chang
Title: Authorized Signatory

Robinhood III, LP

By: /s/ Nancy Chang
Name: Nancy Chang
Title: Authorized Signatory

By: /s/ Nancy Chang
Name: Nancy Chang