Allegion plc Form 144 December 02, 2015

OMB APPROVAL

OMB Number: 3235-0101

Expires: February 28, 2014

Estimated average burden

hours per response 1.00

SEC USE ONLY DOCUMENT SEQUENCE NO.

**CUSIP NUMBER** 

**WORK LOCATION** 

**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) S.E.C. FILE NO.

| Allegion plc<br>1 (d) ADDRESS OF ISSUER | STREET       | 98-1108930<br>CITY | 001-33<br>STATE 2 |                    | ` / | LEPHONE I        |       |
|---|--------------|--------------------|-------------------|--------------------|-----|------------------|-------|
| 11819 N. Pennsylvania Street , Car      | mel, IN 4603 | 2                  |                   |                    | 317 | CODE NUI<br>810- | ·3700 |
| 2 (a) NAME OF PERSON FOR W              | HOSE         | (b) DELATIONS      | ⊔ID Т∩            | (c) ADDR<br>STREET |     | STATE            | ZIP   |

2 (a) NAME OF PERSON FOR WHOSE
ACCOUNT THE SECURITIES ARE TO BE
SOLD

(b) RELATIONSHIP TO
CODE
CODE

Feng "William" Yu

Officer

c/o Schlage Lock Company, LLC

11819 N. Pennsylvania Street , Carmel,
IN 46032

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

| 3 (a)              | (b)   | SEC USE<br>ONLY           | (c)               | (d)               | (e)                              | (f)                   | (g)                  |
|--------------------|---|---------------------------|-------------------|-------------------|----------------------------------|-----------------------|----------------------|
|                    | Name and<br>Address of<br>Each                |                           |                   |                   |                                  |                       |                      |
| Title of the       | Broker  |                           | Number of Shares  | Aggregate         | Number of                        | Approximate           | Name of<br>Each      |
| Class of           | Whom the                                      | Dualian Daalan            | or Other<br>Units | Market            | Shares or Other Units            | Date of Sale          | Securities           |
|                    | Securities are to be Offered                  | Broker-Dealer File Number | To Be<br>Sold     | Value (See instr. | Outstanding                      | (See instr. 3(f))     | Exchange             |
| To Be Sold         | l or Each<br>Market Maker                     |                           | (See instr. 3(c)) | 3(d))             | (See instr. 3(e))                | (MO. DAY YR.)         | (See instr. $3(g)$ ) |
|                    | who is Acquiring the Securities UBS Financial |                           |                   |                   |                                  |                       |                      |
|                    | Services                                      |                           |                   | \$442,739.24      |                                  |                       |                      |
| Ordinary<br>Shares | 1285 Avenue of the Americas                   | f                         | 6,508             | (as of            | 95,911,934 (as of Oct. 26, 2015) | f December 2,<br>2015 | NYSE                 |
|                    | New York, NY<br>10019                         |                           |                   | December 1, 2015) |                                  |                       |                      |

#### **INSTRUCTIONS:**

| 1. | (a) | Name of issuer                                 | 3.(a) Title of the class of securities to be sold  |
|----|-----|--|--|
|    | (b) | Issuer's I.R.S. Identification Number          | (b) Name and address of each broker through whom the securities are intended to be sold  |
|    | (c) | Issuer's S.E.C. file number, if any            | (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)  |
|    | (d) | Issuer's address, including zip code           | Aggregate market value of the securities to be sold (d) as of a specified date within 10 days prior to the filing of this notice                                     |
|    | (e) | Issuer's telephone number, including area code | (e) Number of shares or other units of outstanding, as<br>shown by the most the class outstanding, or if debt<br>securities the face amount thereof recent report or |

statement published by the issuer

- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold
- 2. (a) Name of person for whose account the securities are to be sold Such person's relationship to the issuer (e.g.,
  - (b) officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person's address, including zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. (08-07)

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|--------------|---|-----|--------------|----|-----|----|----|-----|----|----|-----|------|---|----|-------|-----|---|-----|
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Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

| Title of           | Date you         | Nature of Acquisition | Name of Person from<br>Whom Acquired     | Amount of                  | Date of | Nature of |  |
|--------------------|------------------|-----------------------|--|----------------------------|---------|-----------|--|
| the Class          | Acquired         | Transaction           | (If gift, also give date donor acquired) | Securities AcquiredPayment |         | Payment   |  |
| Ordinary<br>Shares | November 1, 2011 | Vesting of RSUs       | Allegion plc                             | 6,508                      | n/a     | n/a       |  |

#### **INSTRUCTIONS:**

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

## TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

| Name and Address of Seller Title of Securities Sold | Date of Sale | Amount of Securities Sold | Gross Proceeds |
|---|--------------|---------------------------|----------------|
| REMARKS:  |              |                           |                |
| INSTRUCTIONS:                                       | ATTENTIO     | N:                        |                |

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be filing this notice.

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If each person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by aggregated with sales for the account of the person signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

December 2, 2015 DATE OF NOTICE

/s/ S. Wade Sheek, Attorney-In-Fact (SIGNATURE)

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)