

DOAR MICHAEL
Form 4
October 10, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
DOAR MICHAEL

2. Issuer Name **and** Ticker or Trading
Symbol
HURCO COMPANIES INC
[HURC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE TECHNOLOGY WAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
10/08/2018

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman & CEO

INDIANAPOLIS, IN 46268

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/08/2018		M	450	A \$ 18.13	95,733	D
Common Stock	10/08/2018		S	450	D \$ (1) 44.9919	95,283	D
Common Stock	10/09/2018		M	4,922	A \$ 18.13	100,205	D
Common Stock	10/09/2018		S	4,422	D \$ (2) 44.5076	95,783	D
	10/09/2018		S	500	D	95,283	D

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Common Stock \$ 45.194
(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Title and Amount of Derivative Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 18.13	10/08/2018		M		450		<u>(4)</u>	05/13/2020	Common Stock	450
Stock Option (right to buy)	\$ 18.13	10/09/2018		M		4,922		<u>(4)</u>	05/13/2020	Common Stock	4,922

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
DOAR MICHAEL ONE TECHNOLOGY WAY INDIANAPOLIS, IN 46268	X Chairman & CEO

Signatures

/s/ Michael Doar 10/10/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the sale of 450 shares in multiple transactions, ranging in price from \$44.92 to \$45.10 per share, resulting in a weighted average sale price of \$44.9919. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.

Represents the sale of 4,422 shares in multiple transactions, ranging in price from \$44.17 to \$45.005 per share, resulting in a weighted average sale price of \$44.5076. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.

Represents the sale of 500 shares in multiple transactions, ranging in price from \$45.18 to \$45.25 per share, resulting in a weighted average sale price of \$45.194. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.

(4) Option vested in thirds on each of May 13, 2011, May 13, 2012 and May 13, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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