

HERTIA JOHN  
Form 4  
September 07, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HERTIA JOHN

2. Issuer Name and Ticker or Trading Symbol  
BIO-RAD LABORATORIES, INC.  
[BIO, BIOB]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/05/2018

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Executive Vice President

C/O BIO-RAD LABORATORIES, INC., 1000 ALFRED NOBEL DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

HERCULES, CA 94547

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  |                                   |
| Bio-Rad A Common Stock          | 09/05/2018                           |  | M                              | 600 <sup>(1)</sup> A \$ 0   | 13,162  | D  |                                   |
| Bio-Rad A Common Stock          | 09/05/2018                           |  | F                              | 208 D \$ 315.5  | 12,954  | D  |                                   |
| Bio-Rad A Common Stock          |                                      |  |                                |   | 252   | I  | By Spouse                         |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying (Instr. 3 and 4) |        |
|--|--|--------------------------------------|--|--------------------------------|---|--|--|--------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date                          | Title  |
| Restricted Stock Units                     | \$ 0 <sup>(2)</sup>                                    | 09/05/2018                           |  | M                              | >X  |  | 0  |        |
| Incentive Stock Option                     | \$ 12.13   | 05/07/2001                           |  | X                              | 0   | 05/07/2001   | 05/06/2011                               | Common |
| Incentive Stock Option                     | \$ 12.13   | 05/07/2001                           |  | X                              | 0   | 05/07/2002   | 05/06/2011                               | Common |
| Incentive Stock Option                     | \$ 12.13   | 05/07/2001                           |  | X                              | 0   | 05/07/2003   | 05/06/2011                               | Common |
| Incentive Stock Option                     | \$ 12.13   | 05/07/2001                           |  | X                              | 0   | 05/07/2004   | 05/06/2011                               | Common |
| Incentive Stock Option                     | \$ 12.13   | 05/07/2001                           |  | X                              | 0   | 05/07/2005   | 05/06/2011                               | Common |
| Incentive Stock Option                     | \$ 23.78   | 07/26/2004                           |  | X                              | 0   | 07/26/2004   | 07/25/2014                               | Common |
| Incentive Stock Option                     | \$ 23.78   | 07/26/2004                           |  | X                              | 0   | 07/26/2005   | 07/25/2014                               | Common |
| Incentive Stock Option                     | \$ 23.78   | 07/26/2004                           |  | X                              | 0   | 12/31/2005   | 07/25/2014                               | Common |
| Incentive Stock                            | \$ 23.78   | 07/26/2004                           |  | X                              | 0   | 12/31/2005   | 07/25/2014                               | Common |

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|                              |          |            |   |   |            |            |       |  |
|------------------------------|----------|------------|---|---|------------|------------|-------|--|
| Option                       |          |            |   |   |            |            |       |  |
| Incentive<br>Stock<br>Option | \$ 23.78 | 07/26/2004 | X | 0 | 12/31/2005 | 07/25/2014 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 24.5  | 05/23/2005 | X | 0 | 05/23/2005 | 05/23/2015 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 24.5  | 05/23/2005 | X | 0 | 12/31/2005 | 05/23/2015 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 24.5  | 05/23/2005 | X | 0 | 12/31/2005 | 05/23/2015 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 24.5  | 05/23/2005 | X | 0 | 12/31/2005 | 05/23/2015 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 26.19 | 05/20/2006 | X | 0 | 05/22/2007 | 05/20/2016 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 26.19 | 05/20/2006 | X | 0 | 05/22/2008 | 05/20/2016 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 26.19 | 05/20/2006 | X | 0 | 05/22/2009 | 05/20/2016 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 26.19 | 05/20/2006 | X | 0 | 05/22/2010 | 05/20/2016 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 26.19 | 05/20/2006 | X | 0 | 05/22/2011 | 05/20/2016 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 28.42 | 05/31/2007 | X | 0 | 05/31/2008 | 05/30/2017 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 28.42 | 05/31/2007 | X | 0 | 05/31/2009 | 05/30/2017 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 28.42 | 05/31/2007 | X | 0 | 05/31/2010 | 05/30/2017 | Commo |  |
| Incentive<br>Stock<br>Option | \$ 28.42 | 05/31/2007 | X | 0 | 05/31/2011 | 05/30/2017 | Commo |  |

Incentive

Stock

\$ 28.42

05/31/2007

X

0

05/31/2012 05/30/2017 Comm

Option

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| DILL ROBERT<br>SIMMONS FIRST NATIONAL CORP<br>501 MAIN STREET<br>PINE BLUFF AR 71601 |               |           | EVP     |       |

## Signatures

/s/ Robert Dill by Piper P.  
Erwin

02/25/2009

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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