

E TRADE FINANCIAL CORP  
Form 8-K  
October 19, 2018

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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**FORM 8-K**

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**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of  
the Securities Exchange Act of 1934**

**Date of report: October 18, 2018**  
(Date of earliest event reported)

**E\*TRADE Financial Corporation**  
(Exact name of Registrant as Specified in its Charter)

|                                                                           |                                 |                                                    |
|---------------------------------------------------------------------------|---------------------------------|----------------------------------------------------|
| <b>Delaware</b>                                                           | <b>1-11921</b>                  | <b>94-2844166</b>                                  |
| <b>(State or other jurisdiction<br/>of incorporation or organization)</b> | <b>(Commission File Number)</b> | <b>(I.R.S. Employer<br/>Identification Number)</b> |

**11 Times Square, New York, New York 10036**  
(Address of Principal Executive Offices and Zip Code)

**(646) 521-4300**  
(Registrant's Telephone Number, including Area Code)

**Not Applicable**  
(Former name or former address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this

chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**Item      Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers;  
5.02      Compensatory Arrangements of Certain Officers.**

Consistent with E\*TRADE Financial Corporation's (the "Company") previous announcement on September 9, 2016, concerning Mr. Rodger Lawson's appointment as the Company's Executive Chairman, on October 18, 2018, Mr. Lawson, announced his intention to relinquish his position as Executive Chairman on December 31, 2018. Mr. Lawson will continue to serve as the Company's Chairman of the Board of Directors.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**E\*TRADE FINANCIAL  
CORPORATION**

Dated: October 19, 2018

By: /s/ Lori S. Sher  
Name: Lori S. Sher  
Title: Corporate Secretary