Edgar Filing: BAXTER INTERNATIONAL INC - Form 4

BAXTER INTERNATIONAL INC

Form 4

November 12, 2002

FORM 4

_ Check this box if no longer subject to Section

obligations may continue.

16. Form 4 or Form 5

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

Name and Address of Reporting Person* Jeharajah, Neville J.						and Ticken		Pe	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) Baxter International Inc. One Baxter Parkway				portin	g Pe	ication Nur erson, untary)	mber	Mont	atement for h/Day/Year 3/2002	10 X Ot	Director		
(St Deerfield, IL 60015							Amendment, of Original nth/Day/Year)	(C X Pe Re	. Individual or Joint/Group Filing Check Applicable Line) I Form filed by One Reporting erson Form filed by More than One Leporting Person				
	tate) (Zip)			Table					rities Acquired,	Disposed	l of, or Bene	ficially Owned	
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	2A. Deemo Execution Date, if any (Month/Day Year)	action Code (Instr. 8)			4. Securities Acqui(A) or Disposed of (Instr. 3, 4 & 5) Amount (A) or (D)		of (D)	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$1 par value										115,457	D		
Common Stock, \$1 par value										4294	I	By 401(K)	
Common Stock, \$1 par value										200	I	By Child #1	
Common Stock, \$1 par value										200	I	By Child #2	
Common Stock, \$1 par value										200	I	By Child #3	
Common Stock, \$1 par value										2,028	I	By Spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3. Trans-	3A.	4.	5	5. Num	ber	6. Date Exercisable		7. Title and		8. Price of			11
Derivative	sion or	action Date	Deemed	Trans-	c	of		and Expiration		Amount of		Derivative			of
Security	Exercise		Execution	action	Į	Derivative Securities		Date		Underlying		Security	Securities	ship	Be
	Price of	(Month/	Date,	Code	S			(Month/Day/		Securities		(Instr. 5)	Beneficially	Form	Ow
(Instr. 3)	Derivative	Day/	if any		Acquired			Year) (In		(Instr. 3 & 4)			Owned	of Deriv-	(In
	Security	Year)	(Month/	(Instr.	((A) or							Following	ative	
			Day/	8)	I	Dispose	ed						Reported	Security:	
			Year)		c	of (D)							Transaction(s)	Direct	
													(Instr. 4)	(D)	
					(Instr. 3	3, 4						(1115111 1)	or	
					8	& 5)								Indirect	
				Code	-		(D)	Date	Expira-	Title	Amount			(I)	
				Couc	١,	(A)	` /		tion	Title	or			(Instr. 4)	
									Date		Number				
									Date		of				
											Shares				
Deferred	(2)	11/08/2002		A		57.9 ⁽³⁾		(4)	(5)	Common	(80)	(8)	8089 ⁽⁹⁾	D	
Compensation	_					_		_	-	Stock, \$1	_	_	_		
Plan - Baxter										par					
Stock Fund(1)										value (6)					

Explanation of Responses:

(1) Reporting person allocated compensation deferred under Baxter's Deferred Compensation Plan ("Plan") to the Baxter Common Stock Fund notional investment alternative. The value of the reporting person's Plan account is based on the performance of the Baxter Common Stock Fund and any other benchmark investment funds selected by the reporting person. Amounts deferred are not actually invested in the Baxter Common Stock Fund or Baxter common stock.

(2) 1-for-1

- (3) Equal to the amount of compensation deferred under the Plan on November 8, 2002 allocated to the Baxter Common Stock Fund notional investment alternative, divided by \$26.41 which was the closing price of Baxter Common Stock as reported on the New York Stock Exchange on November 8, 2002.
- (4) Reporting person's account balance under the Plan is payable in cash after termination of employment or on a future date designated in advance by the reporting person, subject to the terms of the Plan. The reporting person may change investment elections quarterly.
- (5) Please see footnote 4.
- (6) Please see footnote 1.
- (7) Please see footnote 3.
- (8) Please see footnote 3.
- (9) Equal to the reporting person's Baxter Common Stock Fund account balance as of November 8, 2002, divided by \$26.41 which was the closing price of Baxter Common Stock on November 8, 2002, as reported by the New York Stock Exchange.

By: /s/ William M. Link, Attorney-in-Fact for Neville J. Jeharajah Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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