### Edgar Filing: Khadder Nicholas - Form 4

| Khadder Nic<br>Form 4  |  |  |   |   |             |                        |   |   |  |                        |  |
|--|--|--|---|---|-------------|------------------------|---|---|--|------------------------|--|
| August 22, 2   |  |  |   |   |             |                        |   |   |  |                        |  |
| FORM   | 14 <sub>UNITE</sub>                                | D STATES   | SECUR   | ITIES A   | ND EX(      | THA                    | NGE (   | COMMISSION  | r  | PPROVAL                |  |
|  |  | DOTATE   |   | hington,  |             |                        |   |   | OMB<br>Number:   | 3235-0287              |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 o | F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES |  |   |   |             |                        | Lanuary 31Expires:2005Estimated averageburden hours perresponse0.5                                      |   |  |                        |  |
| Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b). | inue. Section                                      | 7(a) of the  | Public Ut   |   | ing Com     | pany                   | Act of  | e Act of 1934,<br>f 1935 or Sectio<br>40            | n  |                        |  |
| (Print or Type F   | Responses)   |  |   |   |             |                        |   |   |  |                        |  |
|  |  |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>FLUIDIGM CORP [FLDM] |   |             |                        | g   | 5. Relationship of Reporting Person(s) to<br>Issuer |  |                        |  |
| (Last)   | (First)  |  |   |   |             | (Check all applicable) |   |   |  |                        |  |
| C/O FLUID<br>CORPORA<br>SHORELIN                               |  | UITE 100   | (Month/D<br>08/20/20  | -   |             |                        |   | Director<br>X Officer (give<br>below)<br>S          |  | b Owner<br>er (specify |  |
|  | (Street)   |  | 4. If Amendment, Date Original Filed(Month/Day/Year)                          |   |             |                        | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |  |                        |  |
| SOUTH SA<br>FRANCISC   | N<br>O, CA 94080                                   |  |   |   |             |                        |   | Form filed by M<br>Person                           | Aore than One Re   | eporting               |  |
| (City)   | (State)  | (Zip)  | Table   | e I - Non-De  | erivative S | Securi                 | ties Acc  | uired, Disposed of                                  | f, or Beneficial   | lly Owned              |  |
| 1.Title of<br>Security<br>(Instr. 3)                           |  | 2. Transaction Date 2A. Dee<br>(Month/Day/Year) Executio<br>any<br>(Month/ |   | 3. 4. Securities Acquired<br>Transaction(A) or Disposed of<br>Code (D)<br>(Instr. 8) (Instr. 3, 4 and 5)<br>(A) |             |                        | cquired<br>d of   | Securities<br>Beneficially<br>Owned                 | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                        |  |
|  |  |  |   | Code V  | Amount      | or<br>(D)              | Price   | (Instr. 3 and 4)                                    |  |                        |  |
| Common<br>Stock  | 08/20/2018   |  |   | М   | 675         | А                      | \$0   | 11,796  | D  |                        |  |
| Common<br>Stock  | 08/20/2018   |  |   | М   | 1,975       | А                      | \$0   | 13,771  | D  |                        |  |

2,251 A \$0 16,022

1,696 D

\$ 6.74 14,326 D

D

Μ

F

Common

Common

Stock

Stock

08/20/2018

08/20/2018

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number<br>Transaction Derivative<br>Code Securities<br>(Instr. 8) Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |         | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    |                 |  | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|---|---|---|---------|--|--------------------|-----------------|--|---------------------------------|
|   |   |   |   | Code V  | (A) (D) | Date<br>Exercisable  | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 08/20/2018                              |   | М   | 675     | (2)  | (2)                | Common<br>Stock | 675                                    | \$                              |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 08/20/2018                              |   | М   | 1,975   | <u>(3)</u>   | <u>(3)</u>         | Common<br>Stock | 1,975                                  | \$                              |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 08/20/2018                              |   | М   | 2,251   | (4)  | <u>(4)</u>         | Common<br>Stock | 2,251                                  | \$                              |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |             |       |  |  |
|--|---------------|-----------|-------------|-------|--|--|
|  | Director      | 10% Owner | Officer     | Other |  |  |
| Khadder Nicholas<br>C/O FLUIDIGM CORPORATION<br>7000 SHORELINE COURT, SUITE 100<br>SOUTH SAN FRANCISCO, CA 94080 |               |           | See Remarks |       |  |  |
| Signatures   |               |           |             |       |  |  |

| /s/ Nicholas<br>Khadder | 08/22/2018 |  |  |  |
|-------------------------|------------|--|--|--|
| **Signature of          | Date       |  |  |  |

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.

On August 9, 2016, the Reporting Person was granted 10,800 Restricted Stock Units of which 14/48th of the total number of shares underlying the Restricted Stock Units granted vested on August 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted have vested and will vest every three months thereafter until fully vested, subject to recipient's continued

status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest date.

On February 13, 2017, the Reporting Person was granted 31,600 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted have vested and will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest date.

On June 11, 2018, the Reporting Person was granted 36,016 Restricted Stock Units of which 3/48th of the total number of shares

(4) underlying the Restricted Stock Units granted vested on August 20, 2018, and 3/48th of the total number of shares underlying the Restricted Stock Units granted will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.