

Edgar Filing: COCALIS JOSEPH C - Form SC 13D

COCALIS JOSEPH C  
Form SC 13D  
March 06, 2002

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13D

Under the Securities Exchange Act of 1934

The Goldfield Corporation

-----  
(Name of Issuer)

COMMON STOCK, Par Value \$0.10 per share

-----  
(Title of Class of Securities)

Joseph C. and Donna J. and James S. Cocalis  
119 Wright Street  
Point Marion, PA 15474

(724) 725-3358

-----  
(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

March 5, 2002

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(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of Sections 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box ☐.

-----  
1 NAME OF REPORTING PERSON  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSON (Entities Only)

Joseph C. and Donna J. and James S. Cocalis

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(b) A group (with a binding agreement), has not been formed to date; however, the reporting person is in contact with other stockholders that represent approximately 4,000,000 shares who have expressed a verbal interest (but no commitment) into determining the feasibility of forming a group with the intent to bundle stock to effectuate a stock swap with a larger company or mutual fund at a price equal to or above book value. The activities include advertising, direct and indirect contact with individuals, companies, and mutual funds to

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determine interest in a stock swap.

Management changes have also been discussed with other stockholders; however, there are currently no plans we are aware of to run a dissident slate for the Board of Directors in the 2002 proxy campaign. Issues discussed include cost savings that would result from an odd lot stock repurchase program, performance based compensation, reduction of overhead, electoral reform, fiscal oversight, etc.

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3	SEC USE ONLY
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4	SOURCE OF FUNDS*
	OO
-----	
5	CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
	/ /
-----	
6	CITIZENSHIP OR PLACE OF ORGANIZATION
	United States
-----	
	7 SOLE VOTING POWER
NUMBER OF	569,700
SHARES	-----
BENEFICIALLY	8 SHARED VOTING POWER
OWNED BY EACH	0
REPORTING	-----
PERSON WITH	9 SOLE DISPOSITIVE POWER
	569,700
	-----
	10 SHARED DISPOSITIVE POWER
	0
-----	
11	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
	569,700 (504,700 Joseph & Donna, 7000 Joseph, 8000 Donna, 50,000 James)
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12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES* /X/
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13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)
	2.1% - -----
14	TYPE OF REPORTING PERSON*
	Stockholder
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Item 1. Security and issuer

This statement on Schedule 13-D (the "Statement") relates to shares

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of the common stock, \$0.10 par value per share (the "shares"), of The Goldfield Corporation, a Delaware corporation, whose principle executive offices are located at 100 Rialto Place, Melbourne, FL 32901.

### Item 2. Identity and Background.

(a) This statement is being filed by Joseph C, Donna J. and James S. Cocalis, all retired, with Joseph and Donna residing in the State of Pennsylvania and James residing in the State of NJ.

.. (b) and (c) The principle address of Joseph and Donna Cocalis is 119 Wright Street, Point Marion, PA 15474.

(d) The reporting person, during the last five years, has not been convicted in any criminal proceedings (excluding traffic violations or similar misdemeanors).

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (see 18 U.S.C. 1001).