## Edgar Filing: TOOTSIE ROLL INDUSTRIES INC - Form 4

#### TOOTSIE ROLL INDUSTRIES INC

Form 4 April 18, 2005

### FORM 4 UNITED

#### **OMB APPROVAL**

| ) : | STATES SECURITIES AND EXCHANGE COMMISSION |
|-----|---|
|     | Washington, D.C. 20549                    |

**OMB** 3235-0287 Number:

if no longer subject to Section 16.

Check this box

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 4 or

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* GORDON ELLEN R

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

TOOTSIE ROLL INDUSTRIES INC

(Check all applicable)

[TR]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

\_X\_\_ 10% Owner \_X\_\_ Director X\_ Officer (give title \_ Other (specify

04/14/2005

(Month/Day/Year) below) President

C/O TOOTSIE ROLL INDUSTRIES, INC., ATTN: BARRY BOWEN 7401 S. CICERO

AVE.

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CHICAGO, IL 60629

| (City)                               | (State)                                 | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficiall |   |              |                |  |                               |   | cially Owned                   |
|--------------------------------------|---|---|---|--------------|----------------|--|-------------------------------|---|--------------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                       | 3.<br>Transaction<br>Code<br>(Instr. 8) | (A)          |                | 5. Amount of Securities Ownership Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) |                               | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                                |
| Common stock                         | 04/14/2005                              |   | Code V                                  | Amount 1,500 | or<br>(D)<br>D | Price (1)  | (Instr. 3 and 4)<br>7,722,658 | D   |                                |
| Common stock                         |   |   |   |              |                |  | 4,475,984                     | I   | By Trust for<br>Children       |
| Common stock                         |   |   |   |              |                |  | 749,807                       | I   | By<br>Charitable<br>Foundation |
| Common                               |   |   |   |              |                |  | 1,244,784                     | I   | By Spouse                      |

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stock

| Common stock | 37,811 | I | By Pension<br>Plan    |
|--------------|--------|---|-----------------------|
| Common stock | 59,701 | I | By TRI<br>Stock Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

8. Prio Deriv Secur (Instr.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | Securities | e Expiration D      | 6. Date Exercisable and Expiration Date (Month/Day/Year) |              | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|---------------------------------------|------------|---------------------|--|--------------|---|--|
|   |   |                                      |   | Code V                                | (A) (D     | Date<br>Exercisable | Expiration<br>Date                                       | Title        | Amount<br>or<br>Number<br>of<br>Shares                        |  |
| Class B<br>Common<br>stock                          | <u>(2)</u>  | 04/14/2005                           |   | J                                     | 1,500      | (2)                 | (2)  | Common stock | (2)   |  |
| Class B<br>Common<br>stock                          | (2)   |                                      |   |                                       |            | <u>(2)</u>          | (2)  | Common stock | (2)   |  |
| Class B<br>Common<br>stock                          | (2)   |                                      |   |                                       |            | (2)                 | (2)  | Common stock | (2)   |  |
| Class B<br>Common<br>stock                          | <u>(2)</u>  |                                      |   |                                       |            | <u>(2)</u>          | (2)  | Common stock | (2)   |  |
| Class B<br>Common<br>stock                          | (2)   |                                      |   |                                       |            | (2)                 | (2)  | Common stock | <u>(2)</u>  |  |

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

GORDON ELLEN R C/O TOOTSIE ROLL INDUSTRIES, INC. ATTN: BARRY BOWEN 7401 S. CICERO AVE.

X Y President

CHICAGO, IL 60629

# **Signatures**

Ellen R Gordon 04/14/2005

\*\*Signature of Person Date

\*\*Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Simultaneous exchange of Common stock for Class B Common stock
- (2) Class B Common stock is freely convertible into Common stock on a share-for-share basis

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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