Edgar Filing: Ray Gregory - Form 4

Ray Gregory Form 4 January 07, FORM Check th if no lon subject t Section Form 4 Form 5 obligation may com <i>See</i> Instr 1(b).	2009 A 4 UNITED his box united box STATEN Section 17(UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							PROVAL 3235-0287 January 31, 2005 verage 's per 0.5		
(Print or Type Responses)											
1. Name and A Ray Gregor	Address of Reporting TY	ssuer Name ar bol itage-Crysta				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) C/O HERITAGE-CRYSTAL CLEAN, INC., 2175 POINT BOULEVARD, SUITE 275			3. Date of Earliest Transaction (Month/Day/Year) 01/05/2009				Director 10% Owner X Officer (give title Other (specify below) below) CFO, VP Bus. Mgmt & Secretary				
ELGIN, IL	(Street) 60123		Amendment, I l(Month/Day/Ye	-	nal		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I - Non-	Derivativ	e Secu	ırities Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code ear) (Instr. 8)	4. Securi oror Dispo (Instr. 3,	(A) or	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	01/05/2009		S <u>(1)</u>	1,497	D	\$ 13.4954	211,185	Ι	See Footnote (2)		
Common Stock	01/06/2009		S <u>(1)</u>	3,400	D	\$ 13.0054	207,785	Ι	See Footnote (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code of		;		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Reporting Owners											
		ner Name / Address	Director	10% Own		Relationships er			Oth	ıer	
Ray Greg	ory										

CFO, VP Bus. Mgmt & Secretary

C/O HERITAGE-CRYSTAL CLEAN, INC. 2175 POINT BOULEVARD, SUITE 275 ELGIN, IL 60123

Signatures

/s/ Samuel C. Schlessinger, Attorney-in-Fact

**Signature of Reporting Person

Date

01/07/2009

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 20, 2008.
- (2) Gregory Ray is the beneficial owner of the shares of Common Stock held by Gregory Paul Ray Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.