#### COMFORT SYSTEMS USA INC

Form 4 May 31, 2013

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Trent T McKenna Issuer Symbol COMFORT SYSTEMS USA INC (Check all applicable) [FIX] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_ Officer (give title (Month/Day/Year) below) C/O COMFORT SYSTEMS USA. 05/29/2013 V.P., General Counsel and Secy INC., 675 BERING DRIVE, SUITE 400 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

**OMB APPROVAL** 

10% Owner

Other (specify

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

HOUSTON, TX 77057

(City)	(State)	(Zip) Tabl	able I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securiti mr Dispose (Instr. 3, 4	ed of (	D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/29/2013		M	5,000	A	\$ 6.64	44,757	D		
Common Stock	05/29/2013		M	5,400	A	\$ 6.38	50,157	D		
Common Stock	05/29/2013		S	10,400	D	\$ 13.738 (1)	39,757	D		
Common Stock	05/30/2013		M	14,600	A	\$ 6.38	54,357	D		

#### Edgar Filing: COMFORT SYSTEMS USA INC - Form 4

Common Stock S 14,600 D 13.762 39,757 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option to Buy	\$ 6.64	05/29/2013		M		5,000	08/24/2008(2)	08/24/2014	Common Stock	5,000
Option to Buy	\$ 6.38	05/29/2013		M		5,400	05/18/2009(3)	05/18/2015	Common Stock	5,400
Option to Buy	\$ 6.38	05/30/2013		M	1	14,600	05/18/2009(3)	05/18/2015	Common Stock	14,600

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Trent T McKenna C/O COMFORT SYSTEMS USA, INC. 675 BERING DRIVE, SUITE 400 HOUSTON, TX 77057

V.P., General Counsel and Secy

**Signatures** 

/s/ Trent T. 05/31/2013 McKenna

\*\*Signature of Date Reporting Person

Reporting Owners 2

#### Edgar Filing: COMFORT SYSTEMS USA INC - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the average price of shares sold; full information regarding the number of shares sold and specific prices will be made available upon request to the Company's Office of the General Counsel.
- (2) Stock options were granted on 8/24/2004, vested equally over a four-year period, and were fully vested on 8/24/2008.
- (3) Stock options were granted on 5/18/2005, vested equally over a four-year period, and were fully vested on 5/18/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.