

AECOM TECHNOLOGY CORP

Form 4

November 05, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Dionisio John M

(Last) (First) (Middle)

C/O AECOM TECHNOLOGY
CORPORATION, 555 S. FLOWER
STREET, SUITE 3700

(Street)

LOS ANGELES, CA 90071

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AECOM TECHNOLOGY CORP
[ACM]

3. Date of Earliest Transaction
(Month/Day/Year)
11/01/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|---|--|---|---|
| Common Stock | 11/01/2013 | | S ⁽¹⁾ | 10,000 | D | | |
| | | | Code | V | Amount | (A) or (D) | Price |
| | | | | | | | \$ |
| Common Stock | | | | | | | 260,657 |
| Common Stock | | | | | | I | 16,300 |
| Common Stock | | | | | | I | 164,948 |
| | | | | | | | by John M Dionisio & Rose Lucy Dionisio JTWROS |
| | | | | | | | by John M Dionisio Family |

| | | | |
|-----------------|-------------|---|--|
| Common Stock | 87,712.7806 | I | Irrevocable Trust by Merrill Lynch under AECOM Retirement & Savings Plan (RSP) |
|-----------------|-------------|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | | |
|---|---|---|---|---|---|--|-----|---|--------------------|-----------------|----------------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option | \$ 23.94 | | | | | | | (2) | 12/01/2015 | Common Stock | 98,281 |
| Employee Stock Option | \$ 24.45 | | | | | | | (3) | 12/02/2016 | Common Stock | 145,349 |
| Employee Stock Option | \$ 27.54 | | | | | | | (4) | 12/08/2017 | Common Stock | 51,030 |
| Restricted Stock Unit | (5) | | | | | | | (6) | (6) | Common Stock | 17,399 |
| Restricted Stock Unit | (5) | | | | | | | (7) | (7) | Common Stock | 71,371 |
| Restricted Stock Unit | (5) | | | | | | | (8) | (8) | Common Stock | 109,614 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| Dionisio John M C/O AECOM TECHNOLOGY CORPORATION 555 S. FLOWER STREET, SUITE 3700 LOS ANGELES, CA 90071 | X | | Chairman & CEO | |

Signatures

/s/ Preston Hopson, Attorney-in-Fact for John M.
Dionisio

11/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sales in this Form 4 were made pursuant to a 10b5-1 trading plan adopted on September 4, 2012.
- (2) The options vested in three equal annual installments beginning on December 1, 2009.
- (3) The options vested in three equal annual installments beginning on December 2, 2010.
- (4) The options vest in three equal annual installments beginning on December 8, 2011.
- (5) Each restricted stock unit represents a contingent right to receive one share of AECOM common stock.
- (6) The restricted stock units vest in three equal annual installments beginning December 2011.
- (7) The restricted stock units vest in three equal annual installments beginning December 2012.
- (8) The restricted stock units vest in December 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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