

SUPREME INDUSTRIES INC

Form 4

April 22, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GARDNER HERBERT M

2. Issuer Name and Ticker or Trading Symbol  
SUPREME INDUSTRIES INC  
[STS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
04/18/2014

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman of Board

P O BOX 463

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

WADING RIVER, NY 11792

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/18/2014		M	30,510 A	\$ 5.5054 405,860 <sup>(2)</sup>	D	
Class A Common Stock	04/18/2014		F	19,241 D	\$ 8.73 386,619 <sup>(2)</sup>	D	
Class A Common Stock					10,969 <sup>(1)</sup> <sup>(2)</sup>	I	By Estate of Wife <sup>(1)</sup>
Class B Common					587,862 <sup>(2)</sup>	D	

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Stock

Class B

Common

Stock

66,516 (1) (2) I

By Estate  
of Wife (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Incentive Stock Option (reload)	\$ 5.5054 <u>(2)</u>	04/18/2014		M	30,510 <u>(2)</u>	<u>(3)</u> 04/25/2014	Class A Common Stock	30,510 <u>(2)</u>
Incentive Stock Option (reload)	\$ 4.62 <u>(2)</u>					<u>(3)</u> 05/07/2015	Class A Common Stock	32,111 <u>(2)</u>
Incentive Stock Option	\$ 1.48 <u>(2)</u>					<u>(3)</u> 06/25/2016	Class A Common Stock	15,750 <u>(2)</u>
Incentive Stock Option	\$ 2.12 <u>(2)</u>					<u>(3)</u> 09/30/2017	Class A Common Stock	31,500 <u>(2)</u>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GARDNER HERBERT M P O BOX 463	X		Chairman of Board	

WADING RIVER, NY 11792

## Signatures

/s/ Herbert M.  
Gardner

04/22/2014

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person disclaims beneficial ownership of shares owned by the estate of Mary Gardner.
- (2) Adjusted for five percent stock dividend paid to all stockholders of record on June 3, 2013.
- (3) Currently exercisable.

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