### **ABBOTT LABORATORIES**

Form 4 March 02, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

r: 3235-0287

Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

e Instruction 50(ff) of the investment Con

1(b).

(Print or Type Responses)

1. Name and Address of Funck Robert E	Reporting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol ABBOTT LABORATORIES [ABT]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (Firs	t) (Middle)	3. Date of Earliest Transaction	(Check an applicable)			
		(Month/Day/Year)	Director 10% Owner			
100 ABBOTT PARK ROAD		02/29/2016	X Officer (give title Other (specification) below)  Vice President, Controller			
(Stree	et)	4 If Amandment Data Original	,			
(Silci	C()	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
ABBOTT PARK, II	L 60064-6400	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tabl	e I - Non-l	Derivative	red, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	omr Dispos (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common shares without par value	02/29/2016		Code V	Amount 2,838	(D)	Price \$ 39.52	86,352	D	
Common shares without par value	02/29/2016		S	933	D	\$ 39.305	85,419	D	
Common shares without par value	02/29/2016		S	100	D	\$ 39.304	85,319	D	

### Edgar Filing: ABBOTT LABORATORIES - Form 4

Common shares without par value	02/29/2016	S	300	D	\$ 39.3023	85,019	D	
Common shares without par value						16,242 (1)	I	Profit Sharing Trust
Common shares without par value						500 (2)	I	By Daughter
Common shares without par value						500 (2)	I	By Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any Code of (Month/Day/Year) Underlying Security  (Instr. 3) Price of Derivative Security  Security  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Expiration Date (Month/Day/Year) Date Expiration Date (Amount of Month/Day/Year)  Amount of Derivative Security Security Securities (Instr. 5)  Instr. 5) Date Expiration Date (Amount of Month/Day/Year) Underlying Security Security Securities (Instr. 5)  Instr. 5) Date Expiration Date (Amount of Month/Day/Year) Underlying Security Security Securities (Instr. 5)  Instr. 5) Date Expiration Date (Instr. 5)  Amount of Month/Day/Year) Date Expiration Date (Instr. 5)  Amount of Month/Day/Year) Date Expiration Date (Instr. 5)  Date Expiration Date (Instr. 5)  Amount of Month/Day/Year) Date (Instr. 5)	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of
(Instr. 3) Price of Derivative Securities (Instr. 5) Derivative Security Securities (Instr. 5)  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Expiration Fixercisable Date Expiration Date Expiration Title Number	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration Da	ate	Amoun	nt of	Derivative
Derivative Securities (Instr. 3 and 4) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Amount  Date Expiration Exercisable Date Date Date Date Date Date Date Dat	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security
Security  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Amount Or Exercisable Date Expiration Fixer Cisable Date  Date Expiration Fixer Cisable Date  Acquired (A) or Disposed Of (D) (Instr. 3, 4, and 5)	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securit	ties	(Instr. 5)
(A) or Disposed of (D) (Instr. 3, 4, and 5)  Amount  Or Date Expiration or Exercisable Date Date Number		Derivative				Securities	3		(Instr. 3	3 and 4)	
Disposed of (D) (Instr. 3, 4, and 5)  Amount or Date Expiration or Exercisable Date Number		Security				Acquired					
of (D) (Instr. 3, 4, and 5)  Amount Or Exercisable Date Expiration Title Number						(A) or					
(Instr. 3, 4, and 5)  Amount  Or  Exercisable Date Expiration Title Number						Disposed					
4, and 5)  Amount  Or  Date Expiration Title Number  Exercisable Date						of (D)					
Amount  Or  Date Expiration Title Number  Exercisable Date						(Instr. 3,					
Or  Date Expiration Or  Exercisable Date Title Number						4, and 5)					
Or Date Expiration Or Exercisable Date Title Number										Amount	
Date Expiration Title Number  Exercisable Date											
Exercisable Date								*			
ΟΤ							Exercisable	Date		of	
Code V (A) (D) Shares					Code V	/ (A) (D)					

# **Reporting Owners**

Reporting Owner Name / Address			Relationships	
•	Director	10% Owner	Officer	Other
Funck Robert E			Vice President, Controller	
100 ABBOTT PARK ROAD				

Reporting Owners 2

### Edgar Filing: ABBOTT LABORATORIES - Form 4

Date

ABBOTT PARK, IL 60064-6400

### **Signatures**

John A. Berry, Attorney-in-Fact for Robert E. Funck 03/02/2016

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance in the Abbott Laboratories Stock Retirement Trust as of February 29, 2016.
- (2) The reporting person disclaims beneficial ownership of all securities held by his daughter.

### **Remarks:**

The sale transactions were made pursuant to a previously adopted plan complying with Rule 10b5-1(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3