VEECO INSTRUMENTS INC

Form 4 April 21, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Expires:

January 31, 2005

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * PEELER JOHN R

2. Issuer Name and Ticker or Trading

Issuer

Symbol

VEECO INSTRUMENTS INC

(Check all applicable)

5. Relationship of Reporting Person(s) to

[VECO]

(Last) (First) (Middle)

(Street)

(State)

(Zip)

3. Date of Earliest Transaction

_X__ Director X_ Officer (give title below)

10% Owner Other (specify

(Month/Day/Year)

04/19/2016

Chairman & CEO

6. Individual or Joint/Group Filing(Check

VEECO INSTRUMENTS INC., TERMINAL DRIVE

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PLAINVIEW, NY 11803

(City)

	140	ince I from Derivative Securities required, Disposed of, or Denerically Owned						y O Willed
		3.			•	5. Amount of	6.	7. Nature of
(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Ownership	Indirect	
	any	Code	(Instr. 3,	4 and 5	5)	Beneficially	Form: Direct	Beneficial
	(Month/Day/Year)	(Instr. 8)				Owned	(D) or	Ownership
						Following	Indirect (I)	(Instr. 4)
						Reported	(Instr. 4)	
				(A)		Transaction(s)	· ·	
				or		(Instr. 3 and 4)		
		Code V	Amount	(D)	Price	(moure und 1)		
04/19/2016		М	75 000	Δ	\$	321 918	D	
04/1//2010		141	73,000	11	12.36	321,710	Ъ	
04/19/2016		F	61,832 (1)	D	\$ 19.39	260,086	D	
	(Month/Day/Year) 04/19/2016	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 04/19/2016	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 8) Code V 04/19/2016 M	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 3, 4) Code V Amount M 75,000 04/19/2016 Execution Date, if Transaction(A) or Discontinuous (Instr. 8)	2. Transaction Date (Month/Day/Year)	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) 2. Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price M 75,000 A \$ 12.36	2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Execution Date, if any (Month/Day/Year) 2. Transaction(A) or Disposed of (D) Securities Code (Instr. 3, 4 and 5) Owned Following Reported Transaction(s) (Instr. 3 and 4) O4/19/2016 M 75,000 A \$ 321,918	2. Transaction Date (Month/Day/Year) 2A. Deemed (Execution Date, if any (Month/Day/Year) (Instr. 3, 4 and 5) (A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to purchase)	\$ 12.36	04/19/2016		M	75,000	(2)	06/28/2016	common stock	75,000

Reporting Owners

**Signature of Reporting Person

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
PEELER JOHN R							
VEECO INSTRUMENTS INC.	X		Chairman				
TERMINAL DRIVE	Λ		& CEO				
PLAINVIEW, NY 11803							

Signatures

Gregory A. Robbins, Attorney-in-fact 04/21/2016

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- Represents a "net exercise" of outstanding stock options. These shares were withheld by Veeco Instruments Inc. for payment of the exercise price and applicable taxes, based on the fair market value of Veeco common stock at the time of exercise, as determined under the Veeco Instruments Inc. 2000 Stock Incentive Plan.
- (2) The option was granted on June 29, 2009 and became exercisable with respect to 1/3 of such shares on each of the first, second and third anniversaries of the date of grant.

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