#### COMFORT SYSTEMS USA INC

Form 4 May 19, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Shaeff Julie

2. Issuer Name and Ticker or Trading

Symbol

Issuer

5. Relationship of Reporting Person(s) to

3. Date of Earliest Transaction

COMFORT SYSTEMS USA INC

(Check all applicable)

Chief Accounting Officer

[FIX]

(First) (Last) (Middle)

(Month/Day/Year) 05/18/2016

\_X\_\_ Officer (give title below)

Director

10% Owner Other (specify

C/O COMFORT SYSTEMS USA,

INC., 675 BERING DR. SUITE 400

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77057

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	05/18/2016		M	4,155	A	\$ 11.94	45,545	D		
Common Stock	05/18/2016		M	3,600	A	\$ 13.15	49,145	D		
Common Stock	05/18/2016		M	3,000	A	\$ 11	52,145	D		
Common Stock	05/18/2016		M	2,000	A	\$ 12.46	54,145	D		
Common Stock	05/18/2016		M	2,000	A	\$ 13.87	56,145	D		

#### Edgar Filing: COMFORT SYSTEMS USA INC - Form 4

Common Stock	05/18/2016	M	1,000	A	\$ 11.21	57,145	D
Common Stock	05/18/2016	S	25,258	D	\$ 30.47 (1)	31,887	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy	\$ 11.94	05/18/2016		M	4,155	03/28/2010(2)	03/28/2017	Common Stock	4,155
Option to Buy	\$ 13.15	05/18/2016		M	3,600	03/26/2011(3)	03/26/2018	Common Stock	3,600
Option to Buy	\$ 11	05/18/2016		M	3,000	03/30/2012(4)	03/30/2019	Common Stock	3,000
Option to Buy	\$ 12.46	05/18/2016		M	2,000	03/25/2013(5)	03/25/2020	Common Stock	2,000
Option to Buy	\$ 13.87	05/18/2016		M	2,000	03/25/2014(6)	03/25/2021	Common Stock	2,000
Option to Buy	\$ 11.21	05/18/2016		M	1,000	03/26/2015(7)	03/26/2022	Common Stock	1,000

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

**Chief Accounting Officer** 

Reporting Owners 2

Shaeff Julie C/O COMFORT SYSTEMS USA, INC. 675 BERING DR. SUITE 400 HOUSTON, TX 77057

## **Signatures**

/s/ Trent T. McKenna, by power of attorney

05/18/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the average price of shares sold; full information regarding the number of shares sold and specific prices will be made available upon request to the Company's Office of the General Counsel.
- (2) Stock options were granted on 3/28/2007, vested equally over a three-year period, and were fully vested on 3/28/2010.
- (3) Stock options were granted on 3/26/2008, vested equally over a three-year period, and were fully vested on 3/26/2011.
- (4) Stock options were granted on 3/30/2009, vested equally over a three-year period, and were fully vested on 3/20/2012.
- (5) Stock options were granted on 3/25/2010, vested equally over a three-year period, and were fully vested on 3/25/2013.
- (6) Stock options were granted on 3/25/2011, vested equally over a three-year period, and were fully vested on 3/25/2014.
- (7) Stock options were granted on 3/26/2012, vested equally over a three-year period, and were fully vested on 3/26/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3