AbbVie Inc. Form 4 February 21, 2017

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number: January 31, Expires:

2005 Estimated average burden hours per

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Gosebruch Henry O |         |          | 2. Issuer Name and Ticker or Trading<br>Symbol<br>AbbVie Inc. [ABBV] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |  |
|---|---------|----------|--|--|--|--|--|
| (Last)  | (First) | (Middle) | 3. Date of Earliest Transaction                                      | (  |  |  |  |
| 1 N. WAUKEGAN ROAD  |         | •        | (Month/Day/Year)<br>02/16/2017                                       | Director 10% Owner _X Officer (give title Other (specify below) Chief Strategy Officer               |  |  |  |
| (Street)  |         |          | 4. If Amendment, Date Original                                       | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| NORTH CHICAGO, IL 60064                                     |         |          | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

| (City)                               | (State)                                 | Zip) Table  | e I - Non-D                             | erivative S   | ecurit           | ies Acq | quired, Disposed o   | f, or Beneficial   | ly Owned  |
|--------------------------------------|---|---|---|---|------------------|---------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |   | Code V                                  | Amount  | (A)<br>or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
| Common stock, \$0.01 par value       | 02/16/2017                              |   | A                                       | 31,196<br>(1)   | A                | \$ 0    | 108,375  | D  |   |
| Common stock, \$0.01 par value       | 02/16/2017                              |   | A                                       | 14,035<br>(2)   | A                | \$0     | 122,410  | D  |   |
| Common stock, \$0.01 par value       |   |   |   |   |                  |         | 1,019 (3)  | I  | Profit sharing trust  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative    | 2. Conversion                                     | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if |                 | 5. Number of orDerivative                                       | 6. Date Exercisable and Expiration Date |                    | 7. Title and Amount of Underlying Securities |                                     |
|---------------------------|---|--------------------------------------|-------------------------------|-----------------|---|---|--------------------|--|-------------------------------------|
| Security<br>(Instr. 3)    | or Exercise<br>Price of<br>Derivative<br>Security |                                      | any<br>(Month/Day/Year)       | Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year)                        |                    | (Instr. 3 and 4)                             |                                     |
|                           |   |                                      |                               | Code V          | (A) (D)   | Date<br>Exercisable                     | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |
| Option (right to buy) (4) | \$ 61.36  | 02/16/2017                           |                               | A               | 78,340  | 02/16/2018                              | 02/15/2027         | Common stock                                 | 78,340                              |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gosebruch Henry O 1 N. WAUKEGAN ROAD NORTH CHICAGO, IL 60064

Chief Strategy Officer

### **Signatures**

Steven L. Scrogham, attorney-in-fact for Henry O. Gosebruch

02/21/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents shares of AbbVie common stock issued under a performance share award granted to the reporting person on February 18, 2016. The award is subject to performance-vesting restrictions based on adjusted earnings per share and relative total shareholder return

- (1) measures. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of the AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2017.
  - Represents shares of AbbVie common stock issued under a performance-vested restricted stock unit award granted to the reporting person on February 18, 2016. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The
- (2) performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2017.

Reporting Owners 2

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- (3) Balance in AbbVie Savings Program as of February 16, 2017.
  - Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under
- (4) Rule 16b-3.The option becomes exercisable in annual increments of 26,114 on 02/16/2018, 26,113 on 02/16/2019, and 26,113 on 02/16/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.