Edgar Filing: DAVIDSON ROBERT C JR - Form 4/A

DAVIDSON ROBERT C JR

Form 4/A

December 27, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DAVIDSON ROBERT C JR

2. Issuer Name and Ticker or Trading

Symbol

Issuer

BROADWAY FINANCIAL CORP

(Check all applicable)

\DE\ [BYFC]

(Month/Day/Year)

(Last)

(City)

(Middle)

3. Date of Earliest Transaction

X__ Director Officer (give title

10% Owner Other (specify

5055 WILSHIRE BOULEVARD, SUITE 500

(First)

(Street)

(State)

12/06/2017

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

12/07/2017

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I. Non Darivative Securities Acquired Disposed of ar Ranaficially Ox

LOS ANGELES, CA 90036

	(,)	()	1 able	1 - Non-De	erivative S	securi	ties Ac	quirea, Disposea (or, or Beneficial	lly Ownea
	1.Title of Security	2. Transaction Date (Month/Day/Year)		3. Transactio	4. Securi		or	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
-	(Instr. 3)	•	any	Code	Disposed	of (D))	Beneficially	(D) or	Beneficial
			(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
								Following	(Instr. 4)	(Instr. 4)
						(A)		Reported		
						(A)		Transaction(s)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
	Common Stock	12/06/2017		D	470 (1)	D	\$ 2.2	73,539 (2)	D	
	Common Stock	12/07/2017		D	100	D	\$ 2.2	73,439	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	n Date		nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivativ		e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration Date	Title N	or		
						Exercisable			Number		
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

DAVIDSON ROBERT C JR 5055 WILSHIRE BOULEVARD, SUITE 500 X LOS ANGELES, CA 90036

Signatures

Alice Wong, 12/27/2017 Attorney-in-fact

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The correct number of shares sold on December 6, 2017 was 470 shares; not the 250 shares previously reported.
- Amount of securities beneficially owned following reported transactions was updated to reflect a correction made to the number of shares sold on December 6, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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