## Edgar Filing: CANTRELL BRIAN L - Form 4

CANTRELL B	RIAN L										
Form 4 February 06, 20	118										
FORM	4 <sub>UNITEI</sub>	UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL OMB 3235-0287 Number:	
Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continue <i>See</i> Instruction 1(b).	<b>STATE</b> Filed pr e. Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							burden hou response	Estimated average burden hours per response 0.5	
(Print or Type Resp	ponses)										
1. Name and Address of Reporting Person <u>*</u> CANTRELL BRIAN L			2. Issuer Name <b>and</b> Ticker or Trading Symbol ALLIANCE RESOURCE PARTNERS LP [ARLP]				g	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 1717 S. BOULDER AVENUE, SUITE 400			3. Date of Earliest Transaction (Month/Day/Year) 02/02/2018					Director       10% Owner         X Officer (give title       Other (specify below)         below)       Below)         Senior VP and CFO			
THESA OV 7	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
TULSA, OK 7	4119							Person		1 6	
(City)	(State)	(Zip)	Table	I - Non-De	rivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
	. Transaction D Month/Day/Yea	ar) Executio any	emed on Date, if 'Day/Year)	3. Transaction Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) o of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Unit								99,094	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exer	cisable and	7. Title and A	Amount of	8.1
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration D	Date	Underlying S	Securities	De
Security	or Exercise		any	Code	Securities	(Month/Day	/Year)	(Instr. 3 and	4)	Sec
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)	•				(In
. ,	Derivative		· · · ·		or Disposed of	•				,
	Security				(D)					
	~				(Instr. 3, 4,					
					and 5)					
					und c)					
									Amount	
						Date	Expiration	Title	or	
						Exercisable	Date	THE	Number	
				Code V	(A) (D)				of Shares	
Restricted Unit	<u>(1)</u>	02/02/2018		А	23,845	(3)	(2)	Common Unit	23,845	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		R		
	Director	10% Owner	Officer	Other
CANTRELL BRIAN L 1717 S. BOULDER AVENUE SUITE 400 TULSA, OK 74119			Senior VP and CFO	
Signatures				

/s/ Brian Cantrell by Kenneth Hemm, pursuant to power of attorney dated April 10, 02/06/2018 2013

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 for 1
- (2) Not applicable
- The restricted units will vest on January 1, 2021 provided that the issuer meets certain financial tests. Once vested, the restricted units are (3)to be settled in ARLP units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date