COX PHILLIP R Form 4

January 05, 2011

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* COX PHILLIP R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

CINCINNATI BELL INC [CBB]

(Check all applicable)

(First) (Middle) (Last)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify

221 EAST FOURTH STREET 01/03/2011

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CINCINNATI, OH 45202

(State) (Zip) 2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially (D) or Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Code V Amount (D) Price

Reported Transaction(s) (Instr. 3 and 4)

Common Stock (1)

(City)

1.Title of

Security

(Instr. 3)

33,605.925 <sup>(2)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: COX PHILLIP R - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securitie	ative es d	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy (3)	\$ 24.915						04/30/2001	04/30/2011	Common Stock	9,000
Option to Buy (3)	\$ 9.35						01/02/2002	01/02/2012	Common Stock	2,650
Option to Buy (3)	\$ 6.69						04/29/2002	04/29/2012	Common Stock	9,000
Option to Buy (3)	\$ 3.715						01/02/2003	01/02/2013	Common Stock	2,650
Option to Buy (3)	\$ 4.51						04/29/2003	04/29/2013	Common Stock	9,000
Option to Buy (3)	\$ 4.245						04/23/2004	04/23/2014	Common Stock	9,000
Option to Buy (3)	\$ 3.87						04/29/2005	04/29/2015	Common Stock	9,000
Option to Buy (3)	\$ 4.195						04/28/2006	04/28/2016	Common Stock	9,000
Option to Buy (4)	\$ 5.31						05/03/2007	05/03/2017	Common Stock	9,000
Phantom Shares	<u>(5)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	6,000
Phantom Shares	<u>(5)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	6,000
Phantom Shares	<u>(5)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	6,000
Phantom Shares	<u>(5)</u>						<u>(6)</u>	<u>(6)</u>	Common Shares	6,000
Phantom Shares	<u>(5)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	6,000
Phantom Shares	<u>(5)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	6,000
	<u>(5)</u>	01/03/2011		A	6,000		<u>(6)</u>	<u>(6)</u>		6,000

Phantom Common Shares Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

COX PHILLIP R
221 EAST FOURTH STREET X
CINCINNATI, OH 45202

**Signatures** 

Christopher J. Wilson, Attorney-in-fact for Phillip

R. Cox 01/05/2011

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Restricted share grant under the Cincinnati Bell Inc. 2007 Stock Option Plan for Non-Employee Directors.
- (2) Includes 50.928 shares held by Trustee of DRP.
- (3) Option shares granted under the 1997 Stock Option Plan for Non-Employee Directors which is a Rule 16b-3 Plan.
- (4) Option shares granted under the 2007 Stock Option Plan for Non-Employee Directors which is is Rule 16b-3 Plan.
- (5) One for one conversion.
- (6) Phantom shares are payable in cash following retirement or termination of the reporting person's affiliation with the Company.
- (7) Phantom shares are valued at the fair market value of the Issuer's common stock on the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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