Edgar Filing: REGIS CORP - Form 4

REGIS CORP Form 4 April 28, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

> Filed By Romeo and Dye's Section 16 Filer www.section16.net

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* Finkelstein, Paul D.					Name and Trporation (Pe	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 7201 Metro Boulevard				orti	dentification ing Person, y (voluntary		Moi	tatement for nth/Day/Year 24/03	10 X	☐ Director			
										resident and fficer	Chief Executive		
(Street)								f Amendment,		. Individual or Joint/Group Filing			
								e of Original onth/Day/Year)		Check Applicable Line) ✓ Form filed by One Reporting			
Minneapolis, MN 55439							(MC	onth/Day/ (ear)		erson			
											y More than One		
									eporting Pers				
(City) (State) (Zip)				Tal	ole I Non	-Deriv	vative Secu	Dispose	sposed of, or Beneficially Owned				
1. Title of	itle of 2. Trans- 2A. Deemed 3. Trans- 4. So				s- 4. Securities Acquired (A			5. Amount of		6. Owner-	7. Nature of		
Security	action	Execution	action	_			D)	Securities		ship Form:	Indirect		
(Instr. 3) Date Date,		· · · · · · · · · · · · · · · · · · ·	Code (Instr. 3, 4 & 5)					Beneficially		Direct (D)	Beneficial		
	(Month/ Day/	3	(Instr. 8				1	Owned Follow-		or Indirect	Ownership		
	Year)	(Month/Day/	Code	V	Amount	(A)	Price	ing Reported		(I)	(Instr. 4)		
		Year)				or		Transactions(s) (Instr. 3 & 4)		(Instr. 4)			
C	0.4/2.4/02		24		225 000	(D)	65.0	` ′	405 255	D			
Common Stock	04/24/03		M		225,000	A	\$5.0	U	487,375	D			
Common Stock	04/24/03		S		225,000	D	\$26.567	4	262,375	D			
Common Stock									15,572	I	By Profit Sharing Plan		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially

(e.g., puts, calls, warrants, options, convertible securities)

			0/1 /			_ /					
1. Title of	2. Conver-	3. Trans-	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nat
Derivative	sion or	action	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indir

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: REGIS CORP - Form 4

Security (Instr. 3)	Price of Derivative Security		if any (Month/	action Code (Instr. 8)	Sec Acc (A) Dis (D)	posed of str. 3, 4	Date (Month/Day/ Year)		Underlying Securities (Instr. 3 & 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	ship Form of Derivative Security: Direct (D) or Indirect	Benefic Owners (Instr. 4
				Code V	-	(D)		Expira- tion Date	Title	Amount or Number of Shares			(I) (Instr. 4)	
Employee stock option (right to buy)	\$5.00	04/24/03		M		225,000	04/01/03	12/31/03	Common stock			0	D	

Explanation of Responses:

By: /s/ Bert M. Gross for Paul D. Finkelsteikn

O4/28/03

Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).