THIRY KENT J Form 4

April 08, 2003 SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Address of Reporting Person*
Thiry, Kent J.

(Last) (First)
(Middle)
21250 Hawthorne Blvd.

Torrance, CA 90503

(City) (State)

(Zip)

Issuer Name
 and Ticker or Trading
 Symbol

DaVita Inc. DVA

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 4. Statement for (Month/Day/Year

04/04/2003

5. If Amendment, Date of Original (Month/Day/Year) 6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

∑ Director _ 10% Owner∑ Officer (give title below) _ Other (specify below)

Description Chairman and Chief Executive Officer

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- X Form filed by One Reporting PersonForm filed by More than One Reporting Person

| | T | able I - Non-Derivat | ive Sec | uriti | es Acquir | ed, Dis | sposed | of, or Beneficially | y Owned | | |
|--|---|---|--|-------|--|---------|--------|--|---|---|--|
| 1. Title of Security (Instr. 3) | 2.Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4, and 5) | | | 5. Amount of Securities Beneficially Owned Following | 6. Owner- ship Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership | |
| | | | Code | ٧ | Amount | A/D | Price | Transaction(s) (I) (Instr. 3 and | (Instr. | (Instr. 4) | |
| Common Stock | 04/04/2003 | | A(1) | | 36,363 | Α | \$0.00 | 147,648 (2) | D | | |
| | | | | | | | | 33,666 | ı | By Trust | |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | |
|--|--|--------------------------------------|-----------------------------------|---------------------------------------|---|---|---|---|-----------------------------------|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Deri- | 3. Transaction Date (Month/ | 3A. Deemed Execution Date, if any | 4. Transactio Code (Instr.8) | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr.5) | 9. Nur Der Sec Ber Ow | | | |

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| | vative Security | Day/ Year) | (Month/ Day/ Year) | | | Of (D) (Instr. 3, 4 and 5) | | | | | | | Foll Rep Tra (Ins |
|---------------------------------------|--------------------|---------------|--------------------------|-------|---|-----------------------------|---|------------|------------|-----------------|--|--------|----------------------------|
| | | | | Code | ٧ | А | D | DE | ED | Title | Amount or Number of Shares | | |
| Stock Options (Right to Buy) | \$20.60 | 04/04/2003 | | A (3) | | 300,000 | | 04/04/2004 | 04/04/2008 | Common Stock | 300,000 | \$0.00 | 30 |

Explanation of Responses:

Note #1: Grant of Restricted Units which vest according to the following schedule: 12,121 on 04/04/2006, 12,121 on 04/04/2007, and 12,121 on 04/04/2008.

Note #2: Includes 1,192 shares acquired under the Company Employee Stock Purchase Plan in January 2003.

Note #3: Nonqualified stock options granted under the 2002 Equity Compensation Plan.

Note #4: The option vests at 25% per year, such that 75,000 shares shall vest on each of the following dates: 04/04/2004, 04/04/2005, 04/04/2006, and 04/04/2007.

By: Date:

/s/ Steven J. Udicious

Attorney-in-Fact

** Signature of Reporting Person

SEC 1474 (9-02)

04/08/2003

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).