

CITIGROUP INC
Form 3
February 09, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â MAHERAS THOMAS G</p> <p>(Last) (First) (Middle)</p> <p>C/O CITIGROUP INC. CORPORATE LAW DEPT.,Â 425 PARK AVENUE 2ND FLOOR</p> <p>(Street)</p> <p>NEW YORK,Â NYÂ 10043</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>02/01/2007</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>CITIGROUP INC [C]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Co-President Citigroup CIB</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 2,362,251.01 | D | Â |
| Common Stock | 10 | I | By Child |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Employee Stock Options (Right to Buy) | 01/01/1999 | 01/01/2008 | Common Stock | 79.34 ⁽¹⁾ | \$ 25.12 | D | Â |
| Employee Stock Options (Right to Buy) | 06/30/1999 | 06/30/2008 | Common Stock | 139.4 ⁽¹⁾ | \$ 28.65 | D | Â |
| Employee Stock Options (Right to Buy) | 06/30/2000 | 06/30/2009 | Common Stock | 124.4 ⁽¹⁾ | \$ 32.22 | D | Â |
| Employee Stock Options (Right to Buy) | 07/13/2007 | 02/13/2012 | Common Stock | 26,805.9 | \$ 42.11 | D | Â |
| Employee Stock Options (Right to Buy) | 06/30/2001 | 06/30/2010 | Common Stock | 91.49 ⁽¹⁾ | \$ 43.94 | D | Â |
| Employee Stock Options (Right to Buy) | 01/15/2004 | 01/18/2010 | Common Stock | 22,791 | \$ 47.12 | D | Â |
| Employee Stock Options (Right to Buy) | 05/03/2004 | 11/02/2008 | Common Stock | 58,615 | \$ 47.4 | D | Â |
| Employee Stock Options (Right to Buy) | 07/18/2005 | 01/18/2010 | Common Stock | 23,095 | \$ 47.5 | D | Â |
| Employee Stock Options (Right to Buy) | 05/02/2001 | 11/02/2008 | Common Stock | 58,121.6 | \$ 48.2 | D | Â |
| Employee Stock Options (Right to Buy) | 07/07/2002 | 03/25/2008 | Common Stock | 32,170.3 | \$ 48.49 | D | Â |
| Employee Stock Options (Right to Buy) | 07/20/2004 | 01/19/2009 | Common Stock | 9,160 | \$ 49.5 | D | Â |
| Employee Stock Options (Right to Buy) | 07/20/2004 | 01/18/2010 | Common Stock | 22,106 | \$ 49.5 | D | Â |
| Employee Stock Options (Right to Buy) | 07/20/2005 | 01/20/2010 | Common Stock | 75,000 ⁽²⁾ | \$ 49.5 | D | Â |
| Employee Stock Options (Right to Buy) | 07/16/2002 | 01/16/2011 | Common Stock | 69,692.9 ⁽¹⁾ | \$ 49.55 | D | Â |
| Employee Stock Options (Right to Buy) | 08/07/2005 | 04/18/2010 | Common Stock | 19,630 | \$ 49.78 | D | Â |
| Employee Stock Options (Right to Buy) | 07/06/2004 | 04/18/2010 | Common Stock | 57,913 | \$ 49.79 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MAHERAS THOMAS G C/O CITIGROUP INC. CORPORATE LAW DEPT. 425 PARK AVENUE 2ND FLOOR NEW YORK, NY 10043 | ^ | ^ | ^ Co-President Citigroup CIB | ^ |

Signatures

| | |
|---|------------|
| Thomas G. Maheras by Glenn S. Gray, Attorney in Fact | 02/09/2007 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in five annual installments beginning on the date indicated.
- (2) The options vest in three annual installments beginning on the date indicated.

^

Remarks:

This is the first of two Form 3 reports (Initial Statement of Beneficial Ownership of Securities) with

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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