Chatterjee-Tandon Vengalil K

Form 4

October 28, 2009

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(City)

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* Chatterjee-Tandon Vengalil K

(First)

4150 NETWORK CIRCLE

(Middle)

(Zip)

(Street)

(State)

10/26/2009

3.

Filed(Month/Day/Year)

2. Issuer Name and Ticker or Trading

Symbol

SUN MICROSYSTEMS, INC. [JAVA]

3. Date of Earliest Transaction (Month/Day/Year)

10/26/2009

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

below) Chief Accounting Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Price

8.44

SANTA CLARA, CA 95054

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 8)

(Instr. 3, 4 and 5) (A)

or Amount (D)

F 291 D

(e.g., puts, calls, warrants, options, convertible securities)

4. Securities Acquired 5. Amount of Securities Beneficially

Owned Following Reported Transaction(s)

(Instr. 3 and 4)

(D) or

Indirect (I)

(Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

(9-02)

55,961 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate			8. Pri Deriv Secu (Insti
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 15					(2)	05/18/2012	Common Stock	4,500	
Employee Stock Option (Right to Buy)	\$ 15.76					<u>(2)</u>	09/17/2012	Common Stock	4,500	
Employee Stock Option (Right to Buy)	\$ 16.48					(2)	01/27/2013	Common Stock	2,500	
Employee Stock Option (Right to Buy)	\$ 21.32					<u>(2)</u>	11/02/2016	Common Stock	13,125	
Employee Stock Option (Right to Buy)	\$ 21.08					<u>(2)</u>	04/25/2017	Common Stock	3,750	
Employee Stock Option (Right to Buy)	\$ 20					(2)	11/14/2017	Common Stock	6,900	

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners

2

### Edgar Filing: Chatterjee-Tandon Vengalil K - Form 4

Director 10% Owner Officer Other

Chatterjee-Tandon Vengalil K 4150 NETWORK CIRCLE SANTA CLARA, CA 95054

Chief Accounting Officer

# **Signatures**

/s/ Vengalil K. Chatterjee-Tandon

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes (i) 45,474 shares of unvested restricted stock units and (ii) 1,206 shares acquired under the issuer's employee stock purchase plan on May 15, 2009.
- (2) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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