Edgar Filing: CODEXIS INC - Form 4

CODEVIC INC

Form 4										
Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont	14 UNITED is box ger STATEN 6. r Filed pur ns Section 17(Washington ANGES IN SECUF on 16(a) of th c Utility Hol	, D.C. 2054 BENEFIC RITIES ne Securities ding Compa	9 IAL (s Exch any Ad	OMMISSION ERSHIP OF Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hour response	•			
See Instru 1(b).		30(h) of the	e Investment	t Company	Act of	1940				
(Print or Type I	Responses)									
MAXYGEN INC Syml			ssuer Name and Ticker or Trading pol DEXIS INC [CDXS]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(M			te of Earliest T th/Day/Year) 4/2010	ransaction		 b	DirectorOfficer (give titleOther (specify below)Other (specify below)			
			Amendment, D (Month/Day/Yea	-		A	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
	O CITY, CA 9406					F	Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip)	Fable I - Non-l	Derivative See	curities	Acqui	ired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	4. Securities onor Disposed (Instr. 3, 4 and Amount	of (D) nd 5) (A) or	ed (A) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/14/2010		J <u>(1)</u>	5,445,274	D	\$0	524,643	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: CODEXIS INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact: Code (Instr. 8)	orNumber Expir of (Mon Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,				7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	4, and		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address							
inporting of their round / round of	Director	10% Owner	Officer	Other			
MAXYGEN INC 515 GALVESTON DRIVE REDWOOD CITY, CA 94063		Х					
Signatures							
/s/ James R. Sulat, for Maxygen Inc. as its Chief Executive Officer							

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Maxygen, Inc. ("Maxygen") distributed the shares of Codexis, Inc. ("Codexis") common stock to Maxygen's stockholders on a pro rata basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

12/16/2010

Date