

LING CURTIS

Form 4

January 12, 2011

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LING CURTIS

(Last) (First) (Middle)

**2051 PALOMAR AIRPORT
ROAD, SUITE 100**

(Street)

CARLSBAD, CA 92011

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
MAXLINEAR INC [MXL]

3. Date of Earliest Transaction
(Month/Day/Year)
01/11/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chief Technical Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock (\$0.0001 par value) (1)	01/11/2011		C ⁽¹⁾	961 A	2,368	D	
Class A Common Stock (\$0.0001 par value)	01/11/2011		S ⁽²⁾	100 D \$ 11.7	2,268	D	
	01/11/2011		S ⁽²⁾	100 D	2,168	D	

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Class A Common Stock (\$0.0001 par value)					\$			
					11.73			
Class A Common Stock (\$0.0001 par value)	01/11/2011	<u>S⁽²⁾</u>	100	D	\$ 11.79	2,068		D
Class A Common Stock (\$0.0001 par value)	01/11/2011	<u>S⁽²⁾</u>	200	D	\$ 11.84	1,868		D
Class A Common Stock (\$0.0001 par value)	01/11/2011	<u>S⁽²⁾</u>	100	D	\$ 11.85	1,768		D
Class A Common Stock (\$0.0001 par value)	01/11/2011	<u>S⁽²⁾</u>	98	D	\$ 11.9	1,670		D
Class A Common Stock (\$0.0001 par value)	01/11/2011	<u>S⁽²⁾</u>	2	D	\$ 11.91	1,668		D
Class A Common Stock (\$0.0001 par value)	01/11/2011	<u>S⁽²⁾</u>	100	D	\$ 11.92	1,568		D
Class A Common Stock (\$0.0001 par value)	01/11/2011	<u>S⁽²⁾</u>	112	D	\$ 11.94	1,456		D
Class A Common Stock (\$0.0001 par value)	01/11/2011	<u>S⁽²⁾</u>	49	D	\$ 11.95	1,407		D
	11/12/2011	<u>C⁽¹⁾</u>	961	A	<u>(1)</u>	2,368		D

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Class A
Common
Stock
(\$0.0001
par value)
(1)

Class A
Common
Stock
(\$0.0001
par value)

11/12/2011

S⁽²⁾

100

D

\$
11.65 2,268

D

Class A
Common
Stock
(\$0.0001
par value)

11/12/2011

S⁽²⁾

100

D

\$
11.66 2,168

D

Class A
Common
Stock
(\$0.0001
par value)

11/12/2011

S⁽²⁾

100

D

\$
11.72 2,068

D

Class A
Common
Stock
(\$0.0001
par value)

11/12/2011

S⁽²⁾

100

D

\$
11.76 1,968

D

Class A
Common
Stock
(\$0.0001
par value)

11/12/2011

S⁽²⁾

300

D

\$ 11.8 1,668

D

Class A
Common
Stock
(\$0.0001
par value)

01/12/2011

S⁽²⁾

100

D

\$ 12 1,568

D

Class A
Common
Stock
(\$0.0001
par value)

01/12/2011

S⁽²⁾

161

D

\$
12.01 1,407

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock (convertible into Class A Common Stock) ⁽¹⁾	<u>(3)</u>	01/11/2011		<u>C</u> ⁽¹⁾	961	<u>(3)</u>	<u>(3)</u>	Class A Common Stock (\$0.0001 par value)	961
Class B Common Stock (convertible into Class A Common Stock) ⁽¹⁾	<u>(3)</u>	01/12/2011		<u>C</u> ⁽¹⁾	961	<u>(3)</u>	<u>(3)</u>	Class A Common Stock (\$0.0001 par value)	961

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LING CURTIS 2051 PALOMAR AIRPORT ROAD SUITE 100 CARLSBAD, CA 92011	X		Chief Technical Officer	

Signatures

/s/ Patrick E. McCready, by power of attorney

01/12/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares of Class B common stock converted into an equivalent number of shares of Class A common stock pursuant to a power of attorney granted by the reporting person on June 7, 2010 in connection with the adoption of a Rule 10b5-1 trading plan.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 7, 2010.
Each share of Class B Common Stock is convertible into one share of Class A Common Stock, which is the publicly traded stock, upon
- (3) certain transfers and at the option of the holder. On the seventh anniversary of the closing of the Registrant's initial public offering (March 29, 2017), the Class B Common Stock and the Class A Common Stock will automatically convert into a single class of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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