George Kevin B Form 3 October 06, 2011

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

George Kevin B

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

10/03/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BEAM INC [NYSE: BEAM]

(Check all applicable)

SVP & Chief Marketing Officer

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

BEAM INC., Â 510 LAKE **COOK ROAD** 

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

DEERFIELD, ILÂ 60015

(State)

1. Title of Security

(Instr. 4)

(City)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership

Form: Direct (D) 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title

Amount or Number of Shares

Derivative Security

Direct (D) or Indirect (I)

1

						(Instr. 5)	
Employee Stock Option (Right to Buy) (1)	(2)	09/30/2016	Beam Inc. Common Stock	19,999	\$ 42.98	D	Â
Employee Stock Option (Right to Buy) (1)	(3)	02/22/2017	Beam Inc. Common Stock	26,666	\$ 43.67	D	Â
Employee Stock Option (Right to Buy) (1)	(4)	02/22/2021	Beam Inc. Common Stock	20,000	\$ 61.54	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1 8	Director	10% Owner	Officer	Other	
George Kevin B BEAM INC. 510 LAKE COOK ROAD DEERFIELD Â ILÂ 60015	Â	Â	SVP & Chief Marketing Officer	Â	

## **Signatures**

Todd M. Bloomquist, as Attorney-in-Fact for Kevin B.

George
10/06/2011

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic (1) value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28, 2011.
- (2) The options vested as to 10,000 shares on September 30, 2011 and will vest as to the remaining 9,999 shares on September 30, 2012.
- (3) The options vest in two equal annual installments beginning on February 22, 2012.
- (4) The options vest in three equal annual installments beginning on February 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2