Edgar Filing: BARRY MICHAEL F - Form 4

| BARRY MI Form 4 | | | | | | | | | | | | |
|---|---|---|---|--------------------|------|---|--|---|---|---|-----------|--|
| January 05, 2 | | | | | | | | | | | | |
| FORM | 14 UNITED S | STATES | SECUE | RITIE | S A | ND EX(| CHA | NGE C | OMMISSION | | PROVAL | |
| Check th | is how | | Wa | shingt | ton, | D.C. 20 | 549 | | | Number: | 3235-0287 | |
| if no long subject to Section 1 Form 4 c | ger STATEN 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | |
| Form 5 obligatio may com <i>See</i> Instr 1(b). | tinue. Section 17(a | a) of the P | ublic U | tility I | Holo | | ipany | Act of | Act of 1934, 1935 or Section) | I | | |
| (Print or Type l | Responses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person * 2. Issue BARRY MICHAEL F Symbol | | | | Iss | | | | 0 | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | QUAK [KWR] | AKER CHEMICAL CORP | | | | | (Check | neck all applicable) | | |
| (Month/D | | | | Day/Year) | | | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) | | | |
| CORPORA | CHEMICAL TION, ONE QUA E. HECTOR STH | | 01/03/2 | .012 | | | | | Chairman, | CEO and Pres | ident | |
| CONGLIG | Filed(Month/Day/Year) Applicable Line) _X_Form filed by Form filed by | | | | | Applicable Line) _X_ Form filed by O | oint/Group Filing(Check One Reporting Person More than One Reporting | | | | | |
| CONSHOH | IOCKEN, PA 194 | 28-2380 | | | | | | | Person | | porting | |
| (City) | (State) | (Zip) | Tab | le I - N | on-E | Derivative | Securi | ties Acqu | uired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Date, if Transaction(A) or Disposed Code (Instr. 3, 4 and 5) | | | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Common Stock | 01/03/2012 | | | Code M | V | Amount 8,000 | (D) A | Price \$ 6.93 | 133,101 | D | | |
| Common Stock | 01/03/2012 | | | S <u>(1)</u> | | 8,000 | D | \$ 40 | 125,101 | D | | |
| Common Stock | 01/03/2012 | | | М | | 2,600 | A | \$ 6.93 | 127,701 | D | | |
| Common Stock | 01/03/2012 | | | М | | 17,219 | А | \$ 6.93 | 144,020 | D | | |
| | 01/03/2012 | | | F | | 7,323 | D | | 137,597 | D | | |

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| Common Stock Common Stock | | | | | | 0,080 | Ι | By 401(k) |
|--|---|---|---|--|--|--|--------------------|---|
| Reminder: Ro | eport on a sepa | rate line for each class | s of securities benefic | d directly or india who respond tion contained to respond u a currently va | to the colle in this form nless the fo | n are not rm | SEC 1474 (9-02) | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exer Expiration I (Month/Day | Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |

| | | | and 5) | | | | | |
|--|---------|------------|-----------|--------|---------------------|--------------------|-----------------|-------------------------------------|
| | | | Code V (A | A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 6.93 | 01/03/2012 | М | 8,000 | (2) | 02/25/2016 | Common Stock | 8,000 |
| Employee Stock Option (right to buy) | \$ 6.93 | 01/03/2012 | М | 2,600 | (2) | 02/25/2016 | Common Stock | 2,600 |
| Employee Stock Option (right to buy) | \$ 6.93 | 01/03/2012 | М | 17,219 | <u>(2)</u> | 02/25/2016 | Common Stock | 17,219 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---------------------------------------|---------------|-----------|-----------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| BARRY MICHAEL F | Х | | Chairman, CEO and President | | | |
| OUAKER CHEMICAL CORPORATION | | | | | | |

ONE QUAKER PARK, 901 E. HECTOR STREET CONSHOHOCKEN, PA 19428-2380

Signatures

Irene M. Kisleiko, Attorney-in-Fact for Michael F. 01/05/2012 Barry

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale of shares reported for this transaction were effected pursuant to a Rule 10b5-1 Plan entered into by reporting person in November 2011.
- (2) The options under this grant vest in three annual installments: 25,220 on February 25, 2010; 25,219 on February 25, 2011; and 25,219 on February 25, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.