Neyman Jesse E Form 4 January 09, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Neyman Jesse E

2. Issuer Name and Ticker or Trading

Issuer

Symbol

FLOTEK INDUSTRIES INC/CN/

(Check all applicable)

[FTK]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title \_ Other (specify

5. Relationship of Reporting Person(s) to

(Zip)

01/05/2012

Exec VP & CFO

2930 W. SAM HOUSTON PKWY. N STE. 300

(Street)

(State)

(City)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

HOUSTON, TX 77043

		Tubi	CI MON D	crivative securities me	quireu, Disposeu (	oi, or Denemena	ny Ownea
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Disposed of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
					Reported		
				(A)	Transaction(s)		
				or	(Instr. 3 and 4)		
			Code V	Amount (D) Price	,		
Common	01/05/2012		S	$100 \frac{(1)}{100} D $ \$ 12.5	65 215	D	
Stock	01/03/2012		3	100 <u>(1)</u> D	65,315	D	
Common	01/00/2012		C	4,700 \$	60.615	D	
Stock	01/09/2012		3	$^{(1)}$ $^{D}$ $_{12.5}$	00,013	D	
Common Stock	01/09/2012		S		60,615	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Neyman Jesse E - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sect (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 13.805					03/13/2008	03/12/2013	Common Stock	3,070	
Stock Option	\$ 22.75					02/28/2009	02/27/2014	Common Stock	5,784	
Stock Option	\$ 2.51					02/16/2010	02/15/2015	Common Stock	49,260	
Stock Option	\$ 2.3					08/11/2010	08/10/2014	Common Stock	150,000	
Stock IOption	\$ 9.19					04/08/2012	04/07/2017	Common Stock	100,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Neyman Jesse E

2930 W. SAM HOUSTON PKWY. N STE. 300 HOUSTON, TX 77043

Exec VP & CFO

### **Signatures**

/s/ Jesse E. 01/09/2012 Neyman \*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale of 4,800 shares at \$12.50 per share pursuant to a Rule 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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