

Fink Nicholas I.
Form 3
May 09, 2012

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Fink Nicholas I.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/01/2012

3. Issuer Name **and** Ticker or Trading Symbol
BEAM INC [NYSE: BEAM]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

BEAM INC.,Â 510 LAKE
COOK ROAD

(Street)

DEERFIELD,Â ILÂ 60015

(City)

(State)

(Zip)

____ Director ____ 10% Owner
X Officer ____ Other
(give title below) (specify below)
SVP, Chief Strategy Officer

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, par value \$3.125

83

D

Â

Common Stock, par value \$3.125

649

I

Through Beam Inc. Retirement
Savings Plan Trust.

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security

4. Conversion
or Exercise

5. Ownership
Form of

6. Nature of Indirect
Beneficial
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (Right to Buy)	Â (1)	09/26/2013	Common Stock	2,372	\$ 61.7448	D	Â
Employee Stock Option (Right to Buy)	Â (2)	09/24/2014	Common Stock	2,372	\$ 67.1897	D	Â
Employee Stock Option (Right to Buy)	Â (3)	09/29/2015	Common Stock	1,026	\$ 47.3192	D	Â
Employee Stock Option (Right to Buy)	09/30/2012	09/30/2016	Common Stock	2,408	\$ 35.6741	D	Â
Employee Stock Option (Right to Buy)	Â (4)	02/22/2017	Common Stock	4,817	\$ 36.2468	D	Â
Employee Stock Option (Right to Buy)	Â (5)	02/22/2021	Common Stock	3,011	\$ 51.0792	D	Â
Employee Stock Option (Right to Buy)	Â (6)	10/04/2021	Common Stock	9,730	\$ 44.75	D	Â
Employee Stock Option (Right to Buy)	Â (7)	02/21/2022	Common Stock	4,545	\$ 55.43	D	Â
Restricted Stock Unit	Â (8)	Â (8)	Common Stock	2,570	\$ (9)	D	Â
Restricted Stock Unit	Â (10)	Â (10)	Common Stock	1,245	\$ (9)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fink Nicholas I. BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015	Â	Â	Â SVP, Chief Strategy Officer	Â

Signatures

Leslie W. Jensen, Attorney-in-Fact for Nicholas I. Fink 05/09/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The options vested in three equal annual installments beginning on September 26, 2007.
- (2) The options vested in three equal annual installments beginning on September 24, 2008.
- (3) The options vested in three equal annual installments beginning on September 29, 2009.
- (4) The options vested as to 2,409 shares on February 22, 2012 and will vest as to the remaining 2,408 shares on February 22, 2013.
- (5) The options vested as to 1,004 shares on February 22, 2012 and will vest as to 1,004 shares on February 22, 2013 and 1,003 shares on February 22, 2014.
- (6) The options vest in three equal annual installments beginning on October 4, 2013.
- (7) The options vest in three equal annual installments beginning on February 21, 2013.
Payment of the award will be made in three equal installments on October 4, 2013, October 4, 2014, and October 4, 2015 if Mr. Fink
- (8) remains employed through such dates, subject to special provisions in the event of death, disability or termination following a change in control.
- (9) Each restricted stock unit represents a contingent right to receive one share of Beam Inc. common stock.
- (10) Payment of the award will be made on or about February 21, 2015 if Mr. Fink remains employed through such date, subject to special provisions in the event of death, disability or termination following a change in control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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