

INTERNATIONAL BUSINESS MACHINES CORP

Form 3

June 03, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Gherson Diane J

(Last) (First) (Middle)

IBM CORPORATION,Â ONE
NEW ORCHARD ROAD

(Street)

ARMONK,Â NYÂ 10504

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
06/01/2013

3. Issuer Name and Ticker or Trading Symbol

INTERNATIONAL BUSINESS MACHINES CORP
[IBM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer ____ Other
 (give title below) (specify below)
 Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

3,832.1931

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Rst. Stock Unit	Â (1)	Â (1)	Common Stock	419	\$ 0	D	Â
Rst. Stock Unit	Â (2)	Â (2)	Common Stock	713	\$ 0	D	Â
Rst. Stock Unit	Â (3)	Â (3)	Common Stock	1,716	\$ 0	D	Â
Rst. Stock Unit	Â (4)	Â (4)	Common Stock	1,875	\$ 0	D	Â
Rst. Stock Unit	Â (5)	Â (5)	Common Stock	4,167	\$ 0	D	Â
Rst. Stock Unit	Â (6)	Â (6)	Common Stock	2,476	\$ 0	D	Â
Phantom Stock Unit	Â (7)	Â (7)	Common Stock	1,631	\$ 0	D	Â
Rst. Stock Unit	Â (8)	Â (8)	Common Stock	10,347	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gherson Diane J IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504	Â	Â	Â Senior Vice President	Â

Signatures

M. F. SooHoo on behalf of D. J.
Gherson 06/03/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These units are payable in cash or the company's common stock upon lapse of the restrictions on June 8, 2013.
- (2) Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 355 of these units on June 8, 2013 and for 358 of these units on June 8, 2014.
- (3) Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 572 of these units on June 8, 2013, for 572 of these units on June 8, 2014, and for 572 of these units on June 8, 2015.
- (4) Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 468 of these units on June 8, 2013, for 468 of these units on June 8, 2014, for 468 of these units on June 8, 2015, and for 471 of these units on June 8,

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2016.

- (5) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 29, 2013.
- (6) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 18, 2017.

Phantom stock units acquired under the IBM Excess 401(k) Plus Plan convert to the cash value of the company's common stock on a

- (7) one-for-one basis, and distribution is deferred until separation from the company. The reporting person may transfer some of these phantom stock units into an alternative investment account under such plan.
- (8) These units have been deferred and are payable in the company's common stock upon lapse of restriction after retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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