

BERKSHIRE HATHAWAY INC  
Form 4  
July 08, 2013

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BUFFETT WARREN E

2. Issuer Name and Ticker or Trading Symbol  
BERKSHIRE HATHAWAY INC  
[BRKA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
07/05/2013

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

3555 FARNAM STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

OMAHA, NE 68131

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Class B Common Stock            | 01/04/2013 <sup>(1)</sup>            |  | G                              | V   | 21,309  | D  | \$ 0  |
|                                 |                                      |  |                                |   | 3,525,623   | D  |   |
| Class B Common Stock            | 04/01/2013 <sup>(1)</sup>            |  | G                              | V   | 1,000   | D  | \$ 0  |
|                                 |                                      |  |                                |   | 3,524,623   | D  |   |
| Class B Common Stock            | 04/24/2013 <sup>(1)</sup>            |  | G                              | V   | 11,935  | D  | \$ 0  |
|                                 |                                      |  |                                |   | 3,512,688   | D  |   |
| Class B Common                  | 05/12/2013 <sup>(1)</sup>            |  | G                              | V   | 7,378   | D  | \$ 0  |
|                                 |                                      |  |                                |   | 3,505,310   | D  |   |

Edgar Filing: BERKSHIRE HATHAWAY INC - Form 4

|                            |                           |  |     |            |   |      |            |   |
|----------------------------|---------------------------|--|-----|------------|---|------|------------|---|
| Stock                      |                           |  |     |            |   |      |            |   |
| Class B<br>Common<br>Stock | 05/13/2013 <sup>(1)</sup> |  | G V | 4,472      | D | \$ 0 | 3,500,838  | D |
| Class B<br>Common<br>Stock | 05/22/2013 <sup>(1)</sup> |  | G V | 44,366     | D | \$ 0 | 3,456,472  | D |
| Class B<br>Common<br>Stock | 06/06/2013 <sup>(1)</sup> |  | G V | 190        | D | \$ 0 | 3,456,282  | D |
| Class B<br>Common<br>Stock | 06/26/2013 <sup>(1)</sup> |  | G V | 17,846     | D | \$ 0 | 3,438,436  | D |
| Class B<br>Common<br>Stock | 07/05/2013 <sup>(1)</sup> |  | C   | 21,000,000 | A | \$ 0 | 24,438,436 | D |
| Class B<br>Common<br>Stock | 07/08/2013 <sup>(1)</sup> |  | G V | 17,458,431 | D | \$ 0 | 6,980,005  | D |
| Class B<br>Common<br>Stock | 07/08/2013 <sup>(1)</sup> |  | G V | 1,745,843  | D | \$ 0 | 5,234,162  | D |
| Class B<br>Common<br>Stock | 07/08/2013 <sup>(1)</sup> |  | G V | 1,222,085  | D | \$ 0 | 4,012,077  | D |
| Class B<br>Common<br>Stock | 07/08/2013 <sup>(1)</sup> |  | G V | 1,222,085  | D | \$ 0 | 2,789,992  | D |
| Class B<br>Common<br>Stock | 07/08/2013 <sup>(1)</sup> |  | G V | 1,222,085  | D | \$ 0 | 1,567,907  | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Pri Deriv Secur (Instr |
|--|---|--------------------------------------|--|--------------------------------|--|--|---|---------------------------|
|--|---|--------------------------------------|--|--------------------------------|--|--|---|---------------------------|

Edgar Filing: BERKSHIRE HATHAWAY INC - Form 4

| Security                   |     |            | (D)<br>(Instr. 3, 4,<br>and 5) |           | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares |
|----------------------------|-----|------------|--------------------------------|-----------|---------------------|--------------------|------------------------|--|
|                            |     |            | Code                           | V (A) (D) |                     |                    |                        |  |
| Class A<br>Common<br>Stock | (2) | 07/05/2013 | C                              | 14,000    | (3)                 | (3)                | See<br>footnote<br>(2) | (2)                                    |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |                  |       |
|---|---------------|-----------|------------------|-------|
|   | Director      | 10% Owner | Officer          | Other |
| BUFFETT WARREN E<br>3555 FARNAM STREET<br>OMAHA, NE 68131 | X             | X         | Chairman and CEO |       |

## Signatures

/s/ Warren E.  
Buffett

07/08/2013

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Except for the gift of 190 shares on June 6, 2013, each of these reported transactions was a gift from Warren E. Buffett to a charity or charitable foundation.
  - (2) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock. In accordance with the instructions to Form 4, my holdings of Class A Common Stock are reportable in Table II.
  - (3) Not applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.