Edgar Filing: MACROGENICS INC - Form 3

MACROGENICS INC

Form 3

October 09, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Karrels James

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

10/09/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MACROGENICS INC [MGNX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O MACROGENICS. INC., Â 9640 MEDICAL CENTER DRIVE

(Street)

Director _X__ Officer

(Check all applicable)

V P, CFO and Secretary

3.

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

ROCKVILLE, Â MDÂ 20850

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

Direct (D)

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Common Stock

80,231 (1)

Ι

Owned jointly with reporting person's wife.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise

Ownership Form of Ownership

6. Nature of Indirect Beneficial

Edgar Filing: MACROGENICS INC - Form 3

			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	10/10/2008(2)	04/09/2018	Common Stock	12,982 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	10/10/2008(2)	04/09/2018	Common Stock	26,633 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009(2)	01/10/2019	Common Stock	6,116 <u>(1)</u>	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009(2)	01/10/2019	Common Stock	2,780 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009(2)	01/10/2019	Common Stock	3,039 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009(2)	01/10/2019	Common Stock	1,382 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/10/2010(2)	01/10/2020	Common Stock	2,330 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/10/2010(2)	01/10/2020	Common Stock	2,996 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/09/2011(2)	01/08/2021	Common Stock	1,748 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/09/2011(2)	01/08/2021	Common Stock	7,574 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	09/14/2012(2)	03/13/2022	Common Stock	10,653 (1)	\$ 0.94	D	Â
Stock Option (right to buy)	07/06/2013(2)	01/05/2023	Common Stock	13,316 <u>(1)</u>	\$ 1.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Keiauonsnips					
	Director	10% Owner	Officer	Other		
Karrels James						
C/O MACROGENICS, INC.	â	â	V P, CFO and Secretary	â		
9640 MEDICAL CENTER DRIVE	А	A	A V F, CFO and Secretary	A		
ROCKVILLE, MD 20850						

Signatures

/s/ Lynn Cilinski, attorney-in-fact	10/09/2013	
**Signature of Reporting Person	Date	

Reporting Owners 2

Edgar Filing: MACROGENICS INC - Form 3

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects a 1-for-18.7739 reverse split of our common stock effected on September 26, 2013.
- (2) 12.5% of the shares underlying the option are exercisable beginning on the six month anniversary of the vesting start date, and an additional 6.25% of the shares underlying the option become exercisable on the first day of each three month anniversary thereafter.

Â

Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.