Edgar Filing: Ampio Pharmaceuticals, Inc. - Form 4

Ampio Pharmaceuticals, Inc. ľ

Common

11/27/2013

| Form 4 | | | | | | | | | | | |
|--|---|------------------|--|--|---|---|--|--|---|--|--|
| November 2 | 7, 2013 | | | | | | | | | | |
| FORN | 14 | | | | | | | OMB AF | PPROVAL | | |
| | UNITED | STATES | | | ND EXCH D.C. 2054 | | COMMISSION | OMB Number: | 3235-0287 | | |
| Section 16. Form 4 or | | | | CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | January 31, 2005 Iverage rs per 0.5 | | |
| Form 5 obligation may cont <i>See</i> Instru 1(b). | ns Section 17(a | a) of the l | Public Ut | ility Hold | ling Comp | - | ange Act of 1934, t of 1935 or Section 1940 | | | | |
| Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> MACALUSO MICHAEL | | | 2. Issuer Name and Ticker or Trading Symbol Ampio Pharmaceuticals, Inc. | | | - | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | [AMPE] | | | | (Check all applicable) | | | | |
| | | | 3. Date of (Month/D 11/27/20 | | ansaction | | _X_ Director _X_ Officer (give below) Chief E | | Owner er (specify er | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| GREENWC VILLAGE, | | | | | | | | Iore than One Re | | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative Se | curities Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | | 3. Transactic Code (Instr. 8) | 4. Securitie on(A) or Disp (Instr. 3, 4 a | osed of (D) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |

(A)

or

(D)

А

Price

\$

Code V Amount

Х

27,379

1.75 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Transaction(s)

(Instr. 3 and 4)

1,786,752

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | DiDeri Secu Acqu or D (D) | rities uired (A) isposed of r. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---------------------------------------|---|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Warrant to purchase common stock (right to buy) | \$ 1.75 | 11/27/2013 | | Х | | 27,379 | 02/28/2011 | 12/31/2013 | Common Stock | 27,379 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| MACALUSO MICHAEL C/O AMPIO PHARMACEUTICALS, INC. 5445 DTC PARKWAY, SUITE 925 GREENWOOD VILLAGE, CO 80111 | Х | | Chief Executive Officer | | | | |
| Signatures | | | | | | | |
| /s/ Mark D. McGregor, by power of attorney | 11/27/2013 | | | | | | |
| **Signature of Reporting Person | 1 | Date | | | | | |
| Explanation of Responses: | | | | | | | |

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.