AMYRIS, INC. Form 4 December 04, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287

Number:

Expires:

January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Boisseau Philippe

(Zip)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer Symbol

(Last)

(First) (Middle) AMYRIS, INC. [AMRS]

3. Date of Earliest Transaction (Month/Day/Year)

12/02/2013

X\_ Director 10% Owner Other (specify Officer (give title below)

(Check all applicable)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

92800 PUTEAUX, I0

(City)

24 COURS MICHELET

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) anv (Month/Day/Year)

(State)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially (D) or Owned Indirect (I) Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

By Total Energies

Common Stock

13,617,212 Ι

Nouvelles Activites USA (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Edgar Filing: AMYRIS, INC. - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of Derivative Securities TransactionAcquired (A) or Disposed of (D) Code (Instr. 3, 4, and 5) (Instr. 8) |                      |   | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7.<br>Un<br>(In |
|---|---|---|---|---|----------------------|---|--|-----------------|-----------------|
|   |   |   |   |   |                      |   | Date<br>Exercisable                                      | Expiration Date | Ti              |
| 1.5% Senior<br>Unsecured<br>Convertible<br>Note Due<br>2017 | \$ 7.0682   | 12/02/2013                              |   | Code V  J(2)  | 7 (A)                | (D)<br>\$ 15,000,000<br>\(\frac{(2)}{2}\) | <u>(2)</u>   | <u>(2)</u>      | C               |
| 1.5% Senior<br>Unsecured<br>Convertible<br>Note Due<br>2017 | \$ 3.08   | 12/02/2013                              |   | J <u>(2)</u>  |                      | \$ 10,000,000<br>(2)                      | (2)  | (2)             | С               |
| 1.5% Senior<br>Unsecured<br>Convertible<br>Note Due<br>2017 | \$ 3.08   | 12/02/2013                              |   | J <u>(2)</u>  |                      | \$ 20,000,000                             | (2)  | (2)             | C               |
| 1.5% Senior<br>Unsecured<br>Convertible<br>Note Due<br>2017 | \$ 7.0682   | 12/02/2013                              |   | J <u>(2)</u>  |                      | \$ 24,047,816.63 (2)                      | (2)  | (2)             | C               |
| 1.5% Senior<br>Secured<br>Convertible<br>Note Due<br>2017   | \$ 7.0682   | 12/02/2013                              |   | P   | \$ 15,000,000<br>(3) |   | (3)  | (3)             | C               |
| 1.5% Senior<br>Secured<br>Convertible<br>Note Due<br>2017   | \$ 3.08   | 12/02/2013                              |   | P   | \$ 10,000,000<br>(4) |   | <u>(4)</u>   | <u>(4)</u>      | C               |
| 1.5% Senior<br>Secured<br>Convertible<br>Note Due<br>2017   | \$ 3.08   | 12/02/2013                              |   | P   | \$ 20,000,000<br>(5) |   | <u>(5)</u>   | <u>(5)</u>      | C               |
| 1.5% Senior<br>Secured                                      | \$ 7.0682   | 12/02/2013                              |   | P   | \$<br>24,047,816.63  |   | <u>(6)</u>   | <u>(6)</u>      | C               |

Convertible Note Due 2017 (6)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Boisseau Philippe
24 COURS MICHELET X X
92800 PUTEAUX, I0

# **Signatures**

/s/ Philippe Boisseau by Nicholas Khadder, Attorney-in-Fact

12/04/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Mr. Boisseau, a member of the Issuer's board of directors, is a member of the Executive Committee of Total S.A., the ultimate parent company of Total Energies Nouvelles Activites USA, and, as such, may be deemed to share voting or investment power over the securities held by Total Energies Nouvelles Activites USA. Mr. Boisseau holds no shares of the Issuer directly and disclaims beneficial ownership of the Common Stock, except to the extent of his pecuniary interest therein, if any.
- Note was cancelled pursuant to that certain Letter Agreement by and between the Reporting Person and the Issuer. The Issuer's obligations under the note were cancelled upon the issuance of a new 1.5% Senior Secured Convertible Note Due 2017.
- (3) The principal amount of this note is \$15,000,000.00. The note is convertible only in those circumstances described in the note. The Final Maturity Date as defined in the note is March 1, 2017.
- (4) The principal amount of this note is \$10,000,000.00. The note is convertible only in those circumstances described in the note. The Final Maturity Date as defined in the note is March 1, 2017.
- (5) The principal amount of this note is \$20,000,000.00. The note is convertible only in those circumstances described in the note. The Final Maturity Date as defined in the note is March 1, 2017.
- (6) The principal amount of this note is \$24,047,816.63. The note is convertible only in those circumstances described in the note. The Final Maturity Date as defined in the note is March 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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