#### Edgar Filing: DREYFUS STRATEGIC MUNICIPALS INC - Form 4

#### DREYFUS STRATEGIC MUNICIPALS INC

Form 4

January 03, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

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January 31, 2005

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* **UBS AG** 

2. Issuer Name and Ticker or Trading

Symbol

12/31/2013

5. Relationship of Reporting Person(s) to

Issuer

DREYFUS STRATEGIC MUNICIPALS INC [LEO]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner \_ Other (specify Officer (give title below)

BAHNHOFSTRASSE 45, PO BOX CH-8021

(Street)

(State)

(Zip)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ZURICH, V8

(City)

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

(A) Transaction(s) or (Instr. 3 and 4)

Price (D) Code V Amount

Auction By

Preferred 12/31/2013 J 170 D (3) 2,811 (2) Ι subsidiary Stock (4) (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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| 1. | Title of  | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and        | 7. Titl | le and   | 8. Price of | 9. Nu  |
|----|-----------|-------------|---------------------|--------------------|------------|------------|--------------|--------------------|---------|----------|-------------|--------|
| D  | erivative | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D | ate                | Amou    | ınt of   | Derivative  | Deriv  |
| S  | ecurity   | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)              | Under   | lying    | Security    | Secui  |
| (I | nstr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |                    | Secur   | ities    | (Instr. 5)  | Bene   |
|    |           | Derivative  |                     |                    |            | Securities | 3            |                    | (Instr. | 3 and 4) |             | Owne   |
|    |           | Security    |                     |                    |            | Acquired   |              |                    |         |          |             | Follo  |
|    |           | •           |                     |                    |            | (A) or     |              |                    |         |          |             | Repo   |
|    |           |             |                     |                    |            | Disposed   |              |                    |         |          |             | Trans  |
|    |           |             |                     |                    |            | of (D)     |              |                    |         |          |             | (Instr |
|    |           |             |                     |                    |            | (Instr. 3, |              |                    |         |          |             | `      |
|    |           |             |                     |                    |            | 4, and 5)  |              |                    |         |          |             |        |
|    |           |             |                     |                    |            | , ,        |              |                    |         |          |             |        |
|    |           |             |                     |                    |            |            |              |                    |         | Amount   |             |        |
|    |           |             |                     |                    |            |            |              | Expiration<br>Date | Title N | or       |             |        |
|    |           |             |                     |                    |            |            |              |                    |         | Number   |             |        |
|    |           |             |                     |                    |            |            |              |                    |         | of       |             |        |
|    |           |             |                     |                    | Code V     | (A) (D)    |              |                    |         | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| •   | Director      | 10% Owner | Officer | Other |  |  |  |
| UBS AG<br>BAHNHOFSTRASSE 45<br>PO BOX CH-8021<br>ZURICH, V8 |               | X         |         |       |  |  |  |

# **Signatures**

/s/ Anthony
DeFilippis

\*\*Signature of Reporting Person

/s/ William
Chandler

\*\*Signature of Date

O1/02/2014

O1/02/2014

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Statement is filed jointly by UBS AG for the benefit and on behalf of UBS Securities LLC and UBS Financial Services Inc., two wholly owned subsidiaries of UBS AG to which UBS AG has delegated portions of its performance obligations with respect to the Auction Rate Securities Rights issued by UBS AG to certain clients and pursuant to which the securities reported herein have been purchased from such clients.
- (2) This filing reports holdings of the Series of Auction Preferred Stock identified in Item 1 of this Table I on an aggregated basis.
- (3) At Par
- (4) (Cusip Nos. 261932206, 261932305, 261932404, 261932503, 261932602)

#### **Remarks:**

The Shares reported herein represent UBS AG's combined holdings in multiple series of auction preferred securities of the issue Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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