ExOne Co Form 4 March 26, 2014

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

127 INDUSTRY BOULEVARD

Yokoyama Kenji

(Last)

2. Issuer Name and Ticker or Trading

Symbol

ExOne Co [XONE]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

03/25/2014

Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

5. Relationship of Reporting Person(s) to

below) GM ExOne KK

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

#### NORTH HUNTINGDON, PA 15642

(City)	(State)	Zip) Table	e I - Non-D	erivative S	Securi	ties Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock, par value \$0.01	03/25/2014		M(1)	5,000	A	\$ 18	5,000	D	
Common Stock, par value \$0.01	03/25/2014		S(3)	5,000	D	\$ 35.2	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code Securities		6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (right to buy)	\$ 18	03/25/2014		M <u>(1)</u>	5,000	02/06/2014(2)	02/06/2023	Common Stock, par value \$0.01	5,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Yokoyama Kenji

127 INDUSTRY BOULEVARD GM ExOne KK

NORTH HUNTINGDON, PA 15642

### **Signatures**

/s/ JoEllen Lyons Dillon, attorney-in-fact for Kenji Yokoyama 03/25/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options awarded under The ExOne Company 2013 Equity Incentive Plan. The transaction was effected pursuant to the issuer's insider trading policy.
- Grant Date of Incentive Stock Options under The ExOne Company 2013 Equity Incentive Plan was on February 6, 2013. 1/3 of the (2) options become exercisable on the first anniversary of the date of grant, 1/3 on the second anniversary of the date of grant and 1/13 on the third anniversary of the date of grant.
- (3) Sale of shares with a portion of proceeds delivered to the issuer for payment of the exercise price of options under The ExOne Company 2013 Equity Incentive Plan and the related tax withholding. This transaction was effected pursuant to the issuer's insider trading policy.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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