Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

INTERNATIONAL BUSINESS MACHINES CORP

Form 4

November 03, 2014

-ORI	ИΔ						PPROVAL		
	UNITED	Washington, D.C. 20549							
Check to if no los subject Section Form 4 Form 5 obligation may consee Install (b).	nger to STATEM 16. or Filed pur Sons ntinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							
Print or Type	e Responses)								
	Address of Reporting gen Bridget A	Sy IN	2. Issuer Name and 1 ymbol NTERNATIONA IACHINES COR	L BUSINESS	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) BM CORPORATION, 63 MADISON AVE.			Date of Earliest Train Month/Day/Year) 0/31/2014	nsaction	Director 10% Owner Senior Vice President				
NEW YO	(Street) RK, NY 10016		If Amendment, Date led(Month/Day/Year)	e Original			erson		
(City)	(State)	(Zip)	Table I - Non-De	rivative Securities A	Person cauired, Disposed of	f. or Reneficia	lly Owned		
.Title of Security Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/Y	3. 4 te, if Transaction Code E Year) (Instr. 8)	C. Securities Acquired (A) or Disposed of (D) Instr. 3, 4 and 5) (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
teminder: Re	eport on a separate line	e for each class	of securities benefic	ially owned directly o	or indirectly.				
				information cont required to response	spond to the collection ained in this form and unless the for atly valid OMB country.	are not m	SEC 1474 (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber	Expiration Date	Underlying Securities	Derivative

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

Security	or Exercise		any	Code	of	(Month/Day	/Year)	(Instr. 3 and	4)	Security
(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Phantom Stock Unit	\$ 0 (1)	10/31/2014		A(2)	8	(3)	(3)	Common Stock	8	\$ 164.4

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

van Kralingen Bridget A IBM CORPORATION 63 MADISON AVE. NEW YORK, NY 10016

Senior Vice President

Signatures

D. Cummins on behalf of B. A. Van Kralingen

11/03/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (2) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- (3) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2