#### MARQUARDT DAVID F

Form 4 May 17, 2013

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MARQUARDT DAVID F			2. Issuer Name <b>and</b> Ticker or Trading Symbol MICROSOFT CORP [MSFT]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(eneck an appround)			
C/O MICROSOFT CORPORATION, ONE MICROSOFT WAY			(Month/Day/Year) 05/14/2013	_X_ Director 10% Owner Officer (give title below) Other (specify below)			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
REDMOND,	WA 98052-	-6399	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State) (Z	Zip) Table	I - Non	-De	erivative S	ecuri	ties Acc	quired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/14/2013		Code G	V V	Amount 6,155	(D)	Price \$ 0	671,431	D	
Common Stock								1,325	I	By daughter
Common Stock								1,325	I	By son
Common Stock								1,325	I	By son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: MARQUARDT DAVID F - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date		Amount	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									mount		
						Date	Expiration	0			
						Exercisable	Date		lumber		
								0			
				Code V	(A) (D)			S	hares		

## **Reporting Owners**

#### Relationships

Reporting Owner Name / Address

Director  $\frac{10\%}{\text{Owner}}$  Officer Other

MARQUARDT DAVID F C/O MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052-6399

X

## **Signatures**

Keith R. Dolliver, Attorney-in-Fact for David F. Marquardt

05/17/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. omethasone diproprionate): corticosteroid Current(Glaxo/Beconase) CTD

Reporting Owners 2

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CTD ------ Formulation: I.V./Tablet Oral (liquid) Therapeutic Indication: Treatment of: Same transplant rejection Oral GVHD - rheumatoid arthritis Development Status: Marketed Phase 1 bioequivalency trial Phase 1/2 trial for chronic oral autoimmune diseases completed; Orphan drug ENDOREX/CTD PRODUCT PIPELINE [Graphical image of a chart depicting product candidates of Endorex and CTD with arrows indicating the different stages of development for the following product candidates: orBec(TM) - I GVHD [arrow indicates initial Phase 3 development stage] - Other GI Disorders [arrow indicates development stage between Phase 1 and Phase 2] Oraprine(R) - Oral autoimmune disorders [arrow indicates development stage between Phase 1 and Phase 2] -Rheumatoid arthritis/transplant [arrow indicates Initial Phase 1 development stage] Oral Delivery - Insulin [arrow indicates development stage between research and preclinical] - Human Growth Hormone [arrow indicates development stage between research and preclinical] - Tetanus Vax [arrow indicates development stage between research and preclinical] - Infuenza Vax [arrow indicates development stage between research and preclinical] - Other Drugs [arrow indicates research development stage] ACOUISITION OF CTD - Endorex to acquire CTD for 10 million shares: - 9.4 million common shares - .6 million warrants/options - Endorex to integrate CTD management/board: - 3 members to join Endorex board - Chairman Colin Bier, Ph.D., to assume position of Endorex Chairman/CEO ENDOREX CAPITAL STRUCTURE POST ACQUISITION Shares - Millions Outstanding Fully-Diluted ----- Endorex 12.7 19.8 Endorex + CTD 22.1 29.8 CTD ownership of Endorex 44% 33% SYNERGY OF COMPANIES Oral/Mucosal Delivery ENDOREX MACROMOLECULES PRECLINICAL hGH Insulin Vaccines Other Management Public \$9.5M cash CTD SMALL MOLECULES CLINICAL orBec(TM) phase 3 Oraprine(R) phase 1-2 Management Private \$5.0M cash ENDOREX ORAL/MUCOSAL DELIVERY Endorex CTD - Clinical/preclinical pipeline - Expanded patent portfolio - Enhanced management - Strengthened balance sheet ENDOREX HIGHLIGHTS - Oral drug delivery technology addresses significant market: - Biotech injectable products - \$18.5 billion - Other drugs with delivery issues - Business strategy for extending patent and commercial life of existing drugs via new delivery systems - Strong intellectual property portfolio: 50+ issued patents - New company acquisition strengthens drug pipeline, balance sheet and management team THANK YOU WELCOME TO A BRAVE NEW WORLD OF NO MORE NEEDLES [Photographic image depicting a hand holding a syringe.]