BLUE CALYPSO, INC.

Form 3 May 05, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BLUE CALYPSO, INC. [BCYP] Brierley Harold M (Month/Day/Year) 04/22/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 101 W. RENNER RD., SUITE (Check all applicable) 200 (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person RICHARDSON, Â TXÂ 75082 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock, \$0.0001 par value D 802,210 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Warrant (Right to Buy)	09/28/2015	09/28/2020	Common Stock, \$0.0001 par value	60,000	\$ 4.75	D	Â
Warrant (Right to Buy)	03/04/2016	03/04/2021	Common Stock, \$0.0001 par value	14,706	\$ 1.25	D	Â
Warrant (Right to Buy)	04/22/2016	04/22/2021	Common Stock, \$0.0001 par value	609,756	\$ 2.13	D	Â
Option (Right to Buy)	04/22/2016	04/22/2026	Common Stock, \$0.0001 par value	50,000	\$ 2.08 (1)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>-</b>	Director	10% Owner	Officer	Other	
Brierley Harold M 101 W. RENNER RD., SUITE 200	Â	ÂΧ	Â	Â	
RICHARDSON Â TXÂ 75082					

#### **Signatures**

/s/ Harold M.
Brierley

\*\*Signature of Reporting Person

O5/04/2016

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest pro rata quarterly over a term of two years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2