Edgar Filing: NISHIYA HIROYUKI - Form 4

| Forn 4 December 13, 2004 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Statement of the longer should be a state of the securities and the secur | NISHIYA HI | ROYUKI | | | | | | | | | |
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| FORM 4 UNITED STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP if no longer siduations near continue. Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Momber Statement of SECURITIES Context is box Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Statement of | Form 4 | | | | | | | | | | |
| Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Sumber: Statement of CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31 Expires: Section 2000 Form 4 or Form 5 obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) CABOT MICROELECTRONICS CORP [CCMP] S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) | December 13, | , 2004 | | | | | | | | | |
| Washington, D.C. 20549 Number: 3226-5229 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2005 Section 16. SECURITIES Expires: 2005 Form 3 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations anay continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Differer (give title — Other Opecify below) Differer (give title — Other Opecify below) (Cio CABOT 12/10/2004 Zofficer (give title = Die) X. Form file below) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned AURORA, IL 60504 Cipy State (Za) Table 1 - Non-Derivative Securities Securities (Month/Day/Year) S. Amount of Securities 6. Oncership Form. Direc 6. Ownership Form. Direc (Cipy) (State) (Za) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Cipy) (State) (Za) Table 1 - Non-Derivative Securities Ac | FORM | S SECUR | ITIES AI | т | | | | | | | |
| if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading NISHIYA HIROYUKI Symbol CABOT MICROELECTRONICS CORP [CCMP] (Last) (Pirst) (Middle) 3. Date of EarliseT ransaction (Month/Day/Year) AURORA, IL 60504 (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3, 4 ad 5) Code V Amount (D) Price (Auron A code Securities Code V Amount (D) Price (Auron A code V Am | | | | | | | | | | 3235-0287 | |
| subject to STATEMENT OF CHARGES IN DENEFTCIAL OWNERSHIP OF Estimated average burden hours per response. 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations are continue. See Instruction 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (b). (b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading NISHIYA HIROYUKI Symbol CABOT MICROELECTRONICS CORP [CCMP] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 12/10/2004 (Core Feeder to Diver (specify below) below) below) below) Vice President, Asia Pacific B CORPORATION, 870 COMMONS DRIVE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) Filed(Month/Day/Year) Filed(Month/Day/Year) Core filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owneed 1. Title of 2. Transaction Date, if Transaction Acquired (A) or Securities form: Direct Indirect (I) Ownership 7. Nature of Security (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owner Jan Action (Instr. 4) | | ar . | | Expires: | • | | | | | | |
| obligations may continue. See Instruction 1(b). Filed puistain to Section to Gal (or the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (b). (b). (Print or Type Responses) 1. Name and Address of Reporting Person ⁺ . 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (Firs) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) | Section 16 | | | | | | burden hou | average Irs per | | | |
| 1. Name and Address of Reporting Person - NISHIYA HIROYUKI 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) (Last) (First) Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner C/O CABOT 12/10/2004 Vice President, Asia Pacific B Other (specify below) Vice President, Asia Pacific B Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) AURORA, IL 60504 Table I - Non-Derivative Securities (City) Street) 3. 4. Securities TransactionAcquired (A) or any 5. Amount of Code 6. Ownership Form filed by More than One Reporting Person 1.Title of Security (Instr. 3) 2. Transaction Date 2. Transaction Date Security (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Disposed of (D) 6. Ownership Following (Instr. 4) 7. Nature of Security (Instr. 4) (A) (Instr. 3) Code V Amount (D) Price 100 owneship Transaction(s) (Instr. 4) 10. Owneship (Instr. 4) | obligation may contin <i>See</i> Instruc | s Section | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | |
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| (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) | | | | Symbol | | | Issuer | | | | |
| C/O CABOT 12/10/2004 Image: Street in the interview of the inter | | | | | | | | | | | |
| C/O CABOT 12/10/2004 Vice President, Asia Pacific B MICROELECTRONICS CORPORATION, 870 COMMONS DRIVE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Month/Day/Year) Execution Date 2A. Deemed Security (Month/Day/Year) Execution Date, if any Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3) (Instr. 4) (Instr. 4) (A) or Code V Amount (D) Price Common | (Last) | (First) | (Middle) | | | | X Officer (give title Other (specify | | | | |
| AURORA, IL 60504 | MICROELE CORPORAT | CTRONICS | OMMONS | 12/10/20 | 04 | | | · · | | ific B | |
| AURORA, IL 60504 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Code Disposed of (D) (Instr. 8) 5. Amount of Securities Beneficially (D) or Beneficially (D) or Beneficial Indirect (I) Ownership Following (Instr. 4) 6. Ownership 7. Nature of Form: Direct Indirect (I) Ownership Following (Instr. 4) Code V Amount (D) Price (A) or Code V Amount (D) Price (A) OF (Instr. 3 and 4) 6. Ownership 7. Nature of Form: Direct Indirect (I) Ownership Following (Instr. 4) | | (Street) | | - | | | Applicable Line) | | | | |
| 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 0. With the opposed of (D) (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 0. Wored indirect (I) Ownership Following 0. Ownership (Instr. 4) 0. Ownership (Instr. 4) 0. Code V Amount 0. Price 0. Ownership 0. Code V Amount 0. Price 0. Ownership | AURORA, II | L 60504 | | | | | | Form filed by I | | | |
| Security (Instr. 3) (Month/Day/Year) Execution Date, if any TransactionAcquired (A) or Code Securities Form: Direct Indirect (Instr. 3) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (A) (A) or Reported Transaction(s) (Instr. 4) (Instr. 4) Code V Amount (D) Price D | (City) | (State) | (Zip) | Table | I - Non-De | erivative S | ecurities Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| Code V Amount (D) Price (Instr. 3 and 4) Common 4 095 D | Security | (Month/Day/Year) Execut any | | on Date, if | Transactio Code | FransactionAcquired (A) or Code Disposed of (D) Instr. 8) (Instr. 3, 4 and 5) | | Securities Beneficially Owned Following | Form: Direct (D) or Indirect (I) | Indirect Beneficial Ownership | |
| 4 195 1) | | | | | Code V | Amount | or | Transaction(s) | | | |
| | | | | | | | | 4,095 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|--|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| Stock Options (Right to buy) | \$ 20 | | | | | 04/03/2000 | 04/03/2005 | Common Stock | 7,000 |
| Stock Options (Right to buy) | \$ 37.78 | 12/10/2004 | | A | 20,000 | 12/10/2005(1) | 12/10/2014 | Common Stock | 20,000 |
| Stock Options (Right to buy) | \$ 48.91 | | | | | 12/11/2004 | 12/11/2013 | Common Stock | 30,000 |
| Stock Options (Right to buy) | \$ 49.8 | | | | | 05/01/2003 | 05/01/2012 | Common Stock | 25,000 |
| Stock Options (Right to buy) | \$ 51.37 | | | | | 12/11/2003 | 12/11/2012 | Common Stock | 41,250 |
| Stock Options (Right to buy) | \$ 67.07 | | | | | 05/01/2002 | 05/01/2008 | Common Stock | 50,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|------------------------------|-------|--|--|
| F | Director | 10% Owner | Officer | Other | | |
| NISHIYA HIROYUKI | | | Vice President, Asia Pacific | | | |
| C/O CABOT MICROELECTRONICS | | | В | | | |
| CORPORATION | | | | | | |
| 870 COMMONS DRIVE | | | | | | |

AURORA, IL 60504

Signatures

H. Carol Bernstein

12/13/2004

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting Schedule: 25% 12/10/05 25% 12/10/06 25% 12/10/07 25% 12/10/08

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.