SEATTLE GENETICS INC /WA Form 10-Q/A August 02, 2005 Table of Contents

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

	SECURITES AND EXCENTIVE COMMISSION			
	Washington, D.C. 20549			
	FORM 10-Q/A			
(Mai	rk One)			
x	QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934			
	For the quarterly period ended September 30, 2004			
	OR			
" TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934				
	For the transition period from to			
	Commission file number 0-32405			
	<u></u>			

SEATTLE GENETICS, INC.

 $(Exact\ name\ of\ registrant\ as\ specified\ in\ its\ charter)$ 

# Edgar Filing: SEATTLE GENETICS INC /WA - Form 10-Q/A

Delaware (State or other jurisdiction of	91-1874389 (I.R.S. Employer
incorporation or organization)	Identification No.)
21823 30 <sup>th</sup> Driv	ve SE
Bothell, Washington	on 98021
(Address of principal executive off	ices, including zip code)
(Registrant s telephone number, include	ling area code): (425) 527-4000
Indicate by check mark whether the registrant (1) has filed all reports required of 1934 during the preceding 12 months (or for such shorter period that the rest to such filing requirements for the past 90 days. YES x NO "	
Indicate by check mark whether the registrant is an accelerated filer (as define	ed in Rule 12b-2 of the Exchange Act). YES "NO x
As of October 29, 2004, there were 41,951,636 shares of the registrant s com	mon stock outstanding.
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## Seattle Genetics, Inc.

# For the quarter ended September 30, 2004

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#### EXPLANATORY NOTE

This amended quarterly report on Form 10-Q/A is being filed solely for the purpose of refiling Exhibits 10.1, 10.2, 10.3 and 10.4 (the Exhibits ) in connection with a pending application for confidential treatment. The Exhibits were originally filed with Seattle Genetics quarterly report on Form 10-Q on November 10, 2004. The redactions to the Exhibits have been amended in accordance with a revised application for confidential treatment filed separately by Seattle Genetics with the Securities and Exchange Commission and concurrently with this amended quarterly report. Seattle Genetics has made no further change to the previously filed quarterly report.

#### PART II. OTHER INFORMATION

#### Item 6. Exhibits and Reports on Form 8-K

#### (a) Exhibits:

Number	Description
3.1*	Amended and Restated Certificate of Incorporation of Seattle Genetics, Inc.
3.2****	Amended and Restated Bylaws of Seattle Genetics, Inc.
3.3**	Certificate of Designations of Series A Convertible Preferred Stock of Seattle Genetics, Inc.
4.1*	Specimen Stock Certificate.
4.2*	Amended and Restated Investors Rights Agreement dated December 22, 1999 among Seattle Genetics, Inc. and certain of its stockholders.
4.3****	Amendment to Amended and Restated Investors Rights Agreement dated July 8, 2003 among Seattle Genetics, Inc. and certain of its stockholders.
4.4***	Investor Rights Agreement dated July 8, 2003 among Seattle Genetics, Inc. and certain of its stockholders.
10.1	Collaboration and License Agreement dated September 27, 2004 between Seattle Genetics, Inc. and Bayer Pharmaceuticals Corporation.
10.2	Collaboration Agreement dated July 20, 2004 between Seattle Genetics, Inc. and Applera Corporation through its Celera Genomics Group.
10.3	Amendment No. 2 to Collaboration Agreement dated August 16, 2004 between Seattle Genetics, Inc. and Genencor International, Inc.
10.4	Amendment No. 3 to License Agreement dated August 17, 2004 between Seattle Genetics, Inc., and Arizona Science & Technology Enterprises d/b/a Arizona Technology Enterprises.
31.1	Certification of Chief Executive Officer pursuant to Rule 13a-14(a).
31.2	Certification of Chief Financial Officer pursuant to Rule 13a-14(a).
32.1	Certification of Chief Executive Officer pursuant to 18 U.S.C. Section 1350.
32.2	Certification of Chief Financial Officer pursuant to 18 U.S.C. Section 1350.

<sup>\*</sup> Previously filed as an exhibit to Registrant s registration statement on Form S-1, File No. 333-50266, originally filed with the Commission on November 20, 2000, as subsequently amended, and incorporated herein by reference.

<sup>\*\*</sup> Previously filed as an exhibit to the registrant s Current Report on Form 8-K filed with the Commission on June 5, 2003.

<sup>\*\*\*</sup> Previously filed as an exhibit to the registrant s Current Report on Form 8-K filed with the Commission on May 15, 2003.

<sup>\*\*\*\*</sup> Previously filed as an exhibit to the registrant s Quarterly Report on Form 10-Q filed with the Commission on August 12, 2003.

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Confidential treatment requested as to certain portions of this Exhibit.

Previously filed.

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#### **SIGNATURES**

Pursuant to the requirements of Section 13 or 15(d) of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

SEATTLE GENETICS, INC.

By: /s/ Tim J. Carroll Tim J. Carroll

Chief Financial Officer

Date: August 1, 2005

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